
Appellate Case No. 2022-05794

New York Supreme Court

Appellate Division – Second Department

VITO J. FOSSELLA, NICHOLAS A. LANGWORTHY, JOSEPH BORRELLI, NICOLE MALLIOTAKIS, ANDREW LANZA, MICHAEL REILLY, MICHAEL TANNOUSIS, INNA VERNIKOV, DAVID CARR, JOANN ARIOLA, VICKIE PALLADINO, ROBERT HOLDEN, GERARD KASSAR, VERALIA MALLIOTAKIS, MICHAEL PETROV, WAFIK HABIB, PHILLIP YAN HING WONG, NEW YORK REPUBLICAN STATE COMMITTEE and REPUBLICAN NATIONAL COMMITTEE,

Plaintiffs-Respondents,

-against-

ERIC ADAMS, in his official capacity as Mayor of New York City, and CITY COUNCIL OF THE CITY OF NEW YORK,

Defendants-Appellants,

-and-

HINA NAVEED, ABRAHAM PAULOS, CARLOS VARGAS GALINDO, EMILI PRADO, EVA SANTOS VELOZ, MELISSA JOHN, ANGEL SALAZAR, MUHAMMAD SHAHIDULLAH, and JAN EZRA UNDAAG,

Defendants-Intervenors-Appellants,

BOARD OF ELECTIONS IN THE CITY OF NEW YORK,

Defendants.

**BRIEF OF THE NEW YORK CIVIL LIBERTIES UNION AS AMICUS CURIAE
IN SUPPORT OF DEFENDANT-APPELLANTS AND DEFENDANT-INTERVENOR-
APPELLANTS**

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PRELIMINARY STATEMENT

In invalidating the municipal voting law at issue in this case, the trial court relied in part on Article II, Section 1 of the New York State Constitution, which establishes the qualifications of voters. The New York Civil Liberties Union submits this brief to assist this Court in its interpretation of that constitutional provision, focusing specifically on the meaning of the term “citizen.” The trial court erroneously concluded that “citizen” in this section exclusively refers to citizens of the United States. Yet, the term “citizen” in the State Constitution is not—and has never been—tethered to U.S. citizenship, except where expressly qualified. This is evident, first, from the text itself. The use of the term “citizen” throughout the State Constitution shows that when the framers intended to limit its application to citizens of the United States, the text does so expressly. Second, records of the relevant constitutional conventions reveal a considered and repeated choice not to tie the definition of “citizen” to federal citizenship. And third, historical evidence shows that, in the period between the Supreme Court’s decision in *Dred Scott v Sanford* (60 U.S. 393 [1857] *superseded* [1868]) and ratification of the 14th Amendment to the U.S. Constitution, a time when the federal government deemed Black men not to be U.S. citizens, New York allowed thousands of property-owning Black men to vote—as citizens of this state.

Contrary to the trial court’s conclusions, it was *not* the intent of the framers of the State Constitution for non-U.S. citizens to be omitted from Article II, Section 1. The trial court’s constitutional ruling flies in the face of both the text and history of the New York State Constitution. It should be reversed.

INTEREST OF AMICUS CURIAE

The New York Civil Liberties Union is a non-profit, non-partisan organization with more than 85,000 members and supporters and is the New York State affiliate of the American Civil Liberties Union. The NYCLU is dedicated to the principles of liberty and equality enshrined in the United States and New York State Constitutions. In support of those principles, the NYCLU has litigated on behalf of voters in cases involving the right of electoral suffrage under New York state law, including *Palla v Suffolk Cnty Bd of Elections* (31 NY2d 36 [1972]); *Amedure v State* (—NY3d—, 2022 WL 16568516 [3d Dept 2022]); *People by James v Schofield* (199 AD3d 5 [3d Dept 2021]); *Spring Valley Branch of the NAACP v Rockland County Bd Of Elections*, (Index No 035092/2020 [Sup Ct, Rockland County, Oct. 29, 2020]); and *League of Women Voters of N.Y. State v N.Y. State Bd of Elections*, 2019 WL 4899034 ([Sup Ct, NY County, Oct. 4, 2019]), and in cases involving the proper interpretation of the New York State Constitution, such as *Hernandez v State*, (173 AD3d 105 [3d Dept 2019]). Amicus curiae brings expertise

in the New York State Constitution and a strong interest in ensuring the correct analysis of the term “citizen” as used in Article II, Section 1.

ARGUMENT

I. A PLAIN READING OF “CITIZEN” THROUGHOUT THE STATE CONSTITUTION SHOWS THAT THE TERM IS NOT SYNONYMOUS WITH “CITIZEN OF THE UNITED STATES.”

Article II, Section 1 of the New York State Constitution provides that “[e]very *citizen* shall be entitled to vote at every election for all officers elected by the people and upon all questions submitted to the vote of the people provided that such citizen is eighteen years of age or over and shall have been a resident of this state, and of the county, city, or village for thirty days next preceding an election” (NY Const art. II, § 1) (emphasis added). A plain reading of the term “citizen” in this provision—particularly in the context of the State Constitution as a whole—shows that it does not impose a federal citizenship requirement. When the State Constitution limits its application to citizens of the United States, it uses the express phrase “citizen of the United States.” Conversely, when there is no intent to limit prescribed rights and privileges to U.S. citizens, the State Constitution does not add this qualifier.

The term “citizen” appears twelve times in the State Constitution.¹ In three instances, the State Constitution makes express reference to United States

¹ NY Const art. I, § 1; art. I, § 8; art. II, § 1 (twice); art. II, § 5; art. II, § 7; art. III, § 5; art. III, § 7; art. III, § 19; art. IV, § 2; art. V, § 6; art. XIV, § 5.

citizenship or immigration status and in nine instances, it does not. The State Constitution qualifies the term “citizen” with reference to the United States when setting the qualifications for members of the legislature, governor and lieutenant governor, and for certain appointments to civil service (NY Const art. III, § 7 “[n]o person shall serve as a member of the legislature unless he or she is a *citizen of the United States* and has been a resident of the state of New York for five years”] [emphasis added]; *id.* art. IV, § 2 “[n]o person shall be eligible to the office of governor or lieutenant-governor, except a *citizen of the United States*”] [emphasis added]; *id.* art. V, § 6 [providing specific qualifications for certain veterans who may qualify for civil service appointments]).

It is a well-established and “basic tenet of constitutional and statutory interpretation that the clearest and ‘most compelling’ indicator of the drafters’ intent is the language itself” (*Hernandez v. State*, 173 A.D.3d 105, 111 [3rd Dept 2019]). The drafters of the State Constitution make clear when the word “citizen” is qualified by reference to the United States and use express language to accomplish this result. Pursuant to the canon of construction of *expressio unius est exclusio alterius*, “an irrefutable inference must be drawn that what is omitted or not included was intended to be omitted or excluded” (NY Stat Law § 240). The term “citizen” in Article II, Section 1 does not have this qualifier— “of the United States” — and thus leads to the inference that it was intentionally excluded (*see Matter of Jose R.*, 83 NY2D

388, 394 [1994]; *Kirshtein v. AmeriCU Credit Union*, 65 AD3d 147, 151 [4th Dept 2009] [using this cannon to distinguish the terms “capacity” and “legal capacity” in the UCC]). If “citizen,” standing alone, were enough to mean only a U.S. citizen, it would be redundant and superfluous to qualify the term with the reference, “of the United States,” as seen in Articles III and IV (*see* NY Stat Law § 231 [“In the construction of a statute, meaning and effect should be given to all its language...words are not to be rejected as superfluous when it is practicable to give to each *a distinct and separate meaning*”] [emphasis added])).

This plain reading is further supported by the presumption of consistent usage—that “a term generally means the same thing each time it is used” (*United States v Castleman*, 572 US 157, 174 [2014] [Scalia, J., concurring]). All nine other uses of “citizen” in the State Constitution are not qualified by reference to the United States. If, as the trial court concluded, “citizen” in Article II, Section 1 means “U.S. citizen,” the presumption of consistent usage would require this interpretation to apply to *every* constitutional provision that uses the term. But it could not and none of the uses of “citizen” in the State Constitution that are currently not qualified by “of the United States” have *ever* been held to mean U.S. citizenship.

Reading “U.S. citizen” into each of the nine standalone uses of “citizen” would contravene the historical application of such rights to all New Yorkers. It also would lead to absurd and untenable results (*See People v Badji*, 36 NY3d 393, 406–

07 [2021] *citing* NY Stat Law §§ 141, 143, 145, 146 [“A construction of a statute will be rejected... if it renders the statute absurd or produces objectionable, anomalous, or unjust results”]).

For example, Article I, Section 8 establishes that “[e]very citizen may freely speak, write and publish his or her sentiments on all subjects...” (NY Const art. I, § 8 [emphasis added]). The free speech protection is understood to be—and has historically been—applied to New Yorkers generally, without regard to whether they are U.S. citizens (*see, e.g., Holmes v Winter*, 22 NY3d 300, 307 [2013] [referencing New York’s “long tradition, with roots dating back to the colonial era, of providing the utmost protection of freedom of the press”]; *O’Neill v Oakgrove Const., Inc.*, 71 NY2d 521, 531 [1988] [Kaye, J. concurring] [contemplating free press with respect to “the citizens of this State under the State Constitution”]). Indeed, the free speech provision of the State Constitution is known to provide broader protections than the First Amendment, which protects non-U.S. citizens (*see Underwager v Channel 9 Australia*, 69 F.3d 361, 365 [9th Cir. 1995] [“the speech protections of the First Amendment at a minimum apply to all persons legally within our borders”]; *Immuno AG. v Moor-Jankowski*, 77 N.Y.2d 235, 249 [1991] [“[T]he ‘protection afforded by the guarantees of free press and speech in the New York Constitution is often broader than the minimum required by the Federal Constitution’”]).

Another example is Article XIV, Section 5, which states, “A violation of any of the provisions of this article may be restrained at the suit of the people or, with the consent of the supreme court in appellate division, on notice to the attorney-general at the suit of *any citizen*” (NY Const art. XIV, § 5 [emphasis added]). Article XIV governs stewardship of the environment—specifically forest and wildlife conversation in New York State—and Section 5 gives “citizens” standing to sue for a violation of any provision therein. It would be absurd to suggest that only U.S. citizens can file lawsuits on behalf of the environment.

Based on the text of the New York State Constitution, it is clear the word “citizen” in Article II, Section 1 without qualification or restriction reflects a deliberate choice not to limit it to U.S. citizens. Accordingly, the trial court’s conclusion that it was “the intent of the framers for non-[U.S.] citizens to be omitted,” (*Fossella et al. v Eric Adams et al.*, Index No. 85007/2022 at 8), is in error.

II. THE CONSTITUTIONAL CONVENTIONS THAT FRAMED ARTICLE II, SECTION 1 CONFIRM THAT “CITIZEN” WAS—AND CONTINUES TO BE—INTENTIONALLY DIVORCED FROM U.S. CITIZENSHIP.

Given the plain language of the State Constitution, this Court need not look further to determine that “citizen” in Article II, Section 1 is not limited to U.S. citizens. But if there were any doubt, the records of the constitutional conventions of 1821, 1846, and 1867 that introduced and interpreted the term “citizen” further

support this reading and show a considered and repeated choice by the framers for the word *not* to be tied to U.S. citizenship.

A. In 1821, Delegates to the Constitutional Convention Chose to Ascribe State Citizenship to Article II, Section 1.

The term “citizen” was first introduced in the 1821 Constitution and the legislative intent is traced to that convention² (Robert Allen Carter, *New York State Constitution: Sources of Legislative Intent*, 13 [2d Ed, 2001]).³ At the time, there was a widespread understanding of two distinct citizenships: state citizenship and federal citizenship. The Convention Act of 1821, which recommended holding a constitutional convention, was passed on March 13, 1821. All eligible persons who cast a ballot for the convention were administered an oath that required them to state, in part, “I _____, do solemnly swear or affirm (as the case may be), that I am a natural born, or naturalized *citizen of the state of New York, or of one of the United States* (as the case may be), of the age of twenty-one years, or upwards...” (*Manual for the use of the Convention to revise the Constitution of the State of New York*,

² The first New York State Constitution of 1777 enfranchised “every male inhabitant” who met specific residence and land ownership requirements to vote for assemblymen (NY Const Art. VII [1777]). It was not until the 1821 State Constitution that the term “citizen” was introduced (See NY Const Art. II, § 1 [1821]; *id.* art. III, § 2).

³ The Court of Appeals has repeatedly cited this treatise on the New York State Constitution as the authoritative source on legislative intent (*see Leading Age New York, Inc. v Shah*, 32 NY3d 249, 279 n.2 [2018] [*citing* Carter]; *Maron v Silver*, 14 NY3d 230, 251 [2010] [*same*]).

convened at Albany, June 1, 1846, Convention Act of 1821, 25-26 NEW YORK STATE LIBRARY DIGITAL COLLECTIONS [1846]).

At the same constitutional convention, the phrase “native citizen of the United States” was added to the gubernatorial qualifications provision in Article III, Section 2, making clear that the framers knew how to qualify the term when they so intended (*compare* NY Const. art. III, § 2 [1821] *with id.* art. II, § 1).⁴ The juxtaposition of the unqualified word “citizen” in the voter qualifications provision of the 1821 Constitution against the phrase “native citizen of the United States” in the gubernatorial qualifications provision reveals that the delegates to the 1821 Constitution understood a distinction between the two terms.

Moreover, the delegates to the 1821 Constitution deliberately chose not to qualify, “male citizen” in the suffrage provision with “of the United States,” despite the existence of this language in at least five state constitutions. The manual to the 1821 convention included a digest of the “qualification of electors” provision(s) in the existing state constitutions (*Convention Manual: A Constitutional Guide to the Objects of the New York State Constitution, Synopsis of the Principal Features of the Constitutions of the United States and the Several States*, 25-27 [1821]). The

⁴ The New York State Constitution of 1846 (“1846 Constitution”) then amended the gubernatorial qualifications provision to remove the word, “native” prior to “citizen of the United States” (*compare* NY Const. art. IV, § 2 [1846] *with* NY Const. art. III, § 2 [1821]). Still, the word “citizen” in Article II, Section 1 of the 1846 Constitution remained unqualified (*id.*).

delegates referenced existing state constitutions throughout the proceedings.⁵ By this time, the constitutions of Maine, Mississippi, Missouri, Alabama, and Indiana had already limited suffrage to “citizen of the United States” in some form (*id.*). By contrast, the Massachusetts constitution allowed “every male citizen,” subject to specific restrictions, to vote (*id.*). Still, the delegates to the 1821 constitutional convention voted to amend the State Constitution’s suffrage provision from the 1777 language of “every male inhabitant” to “every male citizen,” rather than to “every male citizen *of the United States*” (NY Const. art. II, § 1 [1821]).

B. In 1846, Delegates to the Constitutional Convention Acknowledged that the State Constitution Did Not Define “Citizen” in Article II, Section 1 to Mean U.S. Citizen and Affirmed That Interpretation.

Records from the Constitutional Convention of 1846 provide a nearly contemporaneous understanding of “citizen” in Article II, Section 1 as introduced in the 1821 Constitution. Delegates to the 1846 constitutional convention expressed both an understanding that the term was purposefully untethered from U.S. citizenship, as well as an intention to maintain that separation.

The delegates proposed and unanimously approved a resolution about citizenship and the right of suffrage entitled, *The Naturalization of Citizens*, which explicitly addressed the issue of United States citizenship. The resolution proposed:

⁵ See generally, *A Report of the Debates and Proceedings Of the Convention Of the State Of New-York: Held At the Capitol, In the City Of Albany, On the 28th Day Of August, 1821* NEW YORK STATE LIBRARY DIGITAL COLLECTIONS [1821].

“That the committee on the elective franchise inquire into the expediency of providing in the constitution for the exercise of the right of suffrage, so that in no instance shall the exercise of that right depend on the naturalization laws of congress” (*Debates and proceedings in the New-York State Convention, for the revision of the Constitution*, 74 NEW YORK STATE LIBRARY DIGITAL COLLECTIONS [1846]).

Alvah Worden, a prominent lawyer and member of the legislature, was the sponsor of this resolution and explained its purpose.⁶ In his supporting speech, he specifically noted that, as written, the State Constitution conferred the right of suffrage on “citizens” but “did not say whether persons should be citizens of this state or of the United States” (*id.*). Thus, the New York State Constitution afforded the legislature space to define contours of citizenship. In turn, the state legislature had “held that no person not natural born can become a citizen of this State except through the action of the federal Congress” (*id.*). Delegate Worden acknowledged that, to the extent the rights and privileges of New York state citizenship had been defined in terms of U.S. citizenship, that had been a creature of statute, rather than a

⁶ L.B. Proctor, *Lives of Eminent Lawyers and Statesmen of the State of New York, with Notes of Cases Tried by Them, Speeches, Anecdotes, and Incidents in Their Lives*, 594 (1882). Proctor describes Worden’s supporting speech as one of “great power and force” and notes that the resolution “became one of the provisions of the new Constitution” (*id.* at 594). Worden was later appointed as one of three commissioners to simplify the state’s legal codes (*id.* at 597-98).

constitutional matter. However, to avoid having the federal Congress “legislate against the express will of the people of this state,” Worden asserted it would be “expedient” if, in the new constitution, they “should have a fixed rule of suffrage, as applicable to that class of persons called aliens—and that their right to vote *should in no case depend on the action of the federal Congress*” (*id.*).

C. In 1867, Delegates to State Constitutional Convention Rejected Tethering “Citizen” in Article II, Section 1 to U.S. Citizenship to Avoid Disenfranchising Black Men Who Were Stripped of U.S. Citizenship by the Dred Scott Decision.

Constitutional framers reaffirmed that “citizen” in Article II, Section 1 was not limited to U.S. citizenship at the 1867 convention. The 1867 New York State constitutional convention took place at a critical juncture for the meaning of citizenship and voting rights in both the state and federal constitutions. In the shameful *Dred Scott* decision of 1857, the U.S. Supreme Court ruled that Black people were incapable of being U.S. citizens but could nonetheless be citizens of a state with “rights and privileges of the citizen of a State, and yet not be entitled to the rights and privileges of a citizen in any other State” (*Dred Scott v. Sandford*, 60 U.S. (19 How.) 393, 404-05 [1857], *superseded* [1868]). *Dred Scott* remained the law of the land until the ratification of the 14th Amendment in 1868. The records of the 1867 constitutional convention make clear that the delegates understood, explicitly debated, and reaffirmed their desire to maintain a separation between

“citizen” and “U.S. citizen” in Article II, Section 1 of the State Constitution, just as they had done in 1846.

An amendment to add “of the United States” after “citizen” in Article II, Section 1 was proposed—and failed—for the first time at the 1867 constitutional convention (*Proceedings and Debates of the Constitutional Convention of the State of New York Held in 1867 and 1868* [“1867 Convention Proceedings”], 517-18 HATHI TRUST DIGITAL LIBRARY). The amendment’s sponsor, a Mr. Fuller, viewed this change as a powerful way to affirm the U.S. citizenship of Black New Yorkers, in a forceful and defiant response to *Dred Scott*. He stated, “I am not in favor of making any such concession . . . in deference to [*Dred Scott*] that a colored man is not a citizen of the United States . . . I am unwilling to admit or concede that there is any such doubt” (*Id.* at 517).

However, Charles J. Folger, a delegate to the convention who was also a Republican member of the state senate and President Pro Tem—and later Chief Judge of the Court of Appeals (1880-81)⁷—spoke forcefully against the proposed amendment (1867 Convention Proceedings, 517-18). He noted that declining to insert the phrase “citizen of the United States” offered a more legally defensible position to ensure that Black men would continue to be able to vote (*id.*). That there

⁷ *Charles James Folger*, HISTORICAL SOCIETY OF THE NEW YORK COURTS.

was “an express decision” on the judicial records of this country and on the records of the executive department “to the effect that the colored man is not a citizen of the United States,” was not something that could be ignored. According to Folger, while there were principled reasons to push back against the *Dred Scott* decision, attempting to do so in this manner could have the adverse effect of disenfranchising Black men, who were already considered citizens of this state and enjoyed the suffrage guaranteed to them by the State Constitution and the laws of this state. (*Id.*)

Folger stated:

“If it be true—I do not say it is or is not—but it may by possibility be true, that the colored man is not a citizen of the United States. And then if we put that phrase into our Constitution and say that because he is a citizen of the United States, he shall be a voter here—while we have come together with that subject in our minds among others, and with the desire to give the colored citizens of this State the right to vote, *we are using language which may defeat the exercise of that right.*”

(*Id.* [emphasis added].) So, rather than adding a phrase that is not in the State Constitution at all “and never was from 1777,” keeping “the language of the Constitution of 1846,” would require the delegates to give nothing up. The understanding of “citizen,” as it currently stood in the voter qualifications provision, had already been settled. Folger concluded: “I say, it is part of the wisdom to eliminate all such doubts from our Constitution and plant ourselves on certainties, which we surely do plant ourselves upon when we adhere to the *language which has*

been settled for twenty years.” (*Id.* [emphasis added].) Fuller’s proposed amendment failed, (*id.*), and “Citizen of the United States” was not included in the proposed Constitutional amendment.

In 1967, an amendment to qualify “citizen” by reference to U.S. citizenship was proposed once more (*See Official Text of the Proposed Constitution to the State of New York*, 7 [Nov. 7, 1967]). This amendment was also rejected, this time by the voters of New York State.⁸ Thus, since the term’s first appearance in Article II, Section 1 of the New York State Constitution in 1821, “citizen,” has never been qualified by reference to the United States.

⁸ See [Votes Cast For and Against Proposed Constitutional Conventions and Amendments NYCOURTS.GOV](https://www.nycourts.gov/recordings/votes-cast-for-and-against-proposed-constitutional-conventions-and-amendments), at 37 (reflecting that the proposed 1967 Constitution was rejected on November 7, 1967 by a vote of 3.5 million against to 1.3 million in favor). To the extent Intervenor-Defendants-Appellants (“Intervenors”) contend that prior to 1967, the term “citizen” in Article II, Section 1 meant “U.S. citizen” because of the durational citizenship requirement for voting that existed prior to that time, they are incorrect. (*See* Intervenor Br. 28-29). The durational citizenship provision in Article II, Section 1 dates back to 1846 (NY Const art II, § 1 [1846]), and co-existed with the term “citizen” in Article II, Section 1 at a time when it was well-understood that the use of “citizen” was not and should not be tethered to U.S. citizenship (*see* section II.B *supra*). Furthermore, neither of the two cases cited by Intervenor held that the U.S. citizenship requirement mentioned in either of those cases was constitutional in nature (*see* Intervenor Br. 28-29, *citing* *Phillips v Hubbard*, 284 NY 152, 158 [1940]; *Haub v. Inspectors of Election in 12th Election Dist of 37th Assembly Dist of State of NY*, 126 Misc. 2d 458, 460 [Sup Ct, Queens Cnty, 1984]). As Carter’s *Sources of Legislative Intent* shows, the term “citizen” was added to the State Constitution in 1821 and has not changed since then (*see* section I.A *supra*).

III. AFTER THE *DRED SCOTT* DECISION, BLACK MEN VOTED IN NEW YORK STATE BETWEEN 1857 AND 1868 AS CITIZENS OF THIS STATE.

Between 1857 and 1868—a time when the United States Supreme Court denied Black people their status as U.S. citizens—thousands of Black men still cast ballots in New York State, as citizens of this state.

It is widely understood that the abhorrent *Dred Scott* decision in 1857 “stripped the citizenship of free Blacks born in the United States whom Northern states considered to be citizens” (Rose Cuison-Villazor, *Rejecting Citizenship*, 120 Mich. L. Rev. 1033, 1052 [2022] citing Martha S. Jones, *Birthright Citizens* [2018]). Notwithstanding this ruling, Black men continued to vote in New York between 1857 and 1868 (“the *Dred Scott* period”).

The most famous recorded example of non-U.S. citizen voting in New York during the *Dred Scott* period occurred when Frederick Douglass, the renowned abolitionist and New York State citizen, cast his ballot in Rochester, New York in the presidential election of 1864 (see David W. Blight, *Frederick Douglass: Prophet of Freedom* 445 [2018]). Douglass was hardly the only Black man to cast a ballot in New York during the decade in which Black people could not be U.S. citizens. In a speech in September 1858, William J. Watkins, an African American abolitionist and minister, addressed the New York State Suffrage Association and advised the “eleven thousand colored voters of this State” to vote for the Republican party (Van

Gosse, *The First Reconstruction: Black Politics in America from the Revolution to the Civil War*, 435 [2021]; see also *id.* at 477 [“In 1858, [B]lack New Yorkers occupied a momentarily privileged position, which internal disagreement only strengthened; no one could take for granted their ‘eleven thousand votes’”]). Indeed, it is well-documented that between 1832 and 1860, Black voters in New York consistently played either an active or influential role in the presidential elections (Hanes Walton, Jr., *The African American Electorate: A Statistical History*, 129-30 [2012]).

Black New Yorkers voted during the *Dred Scott* period as “citizens” within the meaning of the voter qualifications provision of the State Constitution. Importantly, New York did not nullify *Dred Scott* in permitting Black men to vote. In the famed *Lemmon* Slave Case, the Court of Appeals acknowledged the vitality of *Dred Scott* and its applicability to New York through the Supremacy Clause. (*Lemmon v. People*, 20 N.Y. 562 [1860]). Instead, the Court of Appeals distinguished *Dred Scott*, noting that the precedent did not altogether impair New York’s ability to exercise authority “As a sovereign State [to] determine and regulate the *status* or social and civil condition of her citizens, and every description of persons within her territory” (*id.* at 616). In allowing Black men to vote during this time, New York State was exercising its authority as a sovereign state to determine the status of its own citizens, and to interpret its own constitution. Article II, Section

1 of the New York State Constitution does not bar the legislature from enacting non-U.S. citizen voting. And the trial court’s ruling that “citizen” in the State Constitution means U.S. citizenship is inconsistent with the historical record.

CONCLUSION

The trial court’s interpretation of “citizen” is contradicted by the plain text of the New York State Constitution, the records of the constitutional conventions that framed the term “citizen” in Article II, Section 1, and the historical practice of Black male suffrage in New York State during the *Dred Scott* period. Article II, Section 1 of the New York State Constitution does not bar a legislature from enfranchising non-U.S. citizens to vote in municipal elections because the term “citizen,” is not—and has never been—tethered to U.S. citizenship. For the reasons articulated in this brief, the trial court’s decision cannot hold constitutional muster. It should be reversed.

Dated: New York, New York
January 11, 2023



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APPENDIX OF NON-PUBLICLY AVAILABLE SOURCES

EXHIBIT 1

1821 Convention Manual: A Constitutional Guide to the Objects of the New
York State Constitution, Synopsis of State Constitutions (excerpt)

CONVENTION MANUAL

CONSTITUTIONAL GUIDE

TO THE OBJECTS OF THE

New-York State Convention ;

CONSISTING OF THE

CONSTITUTION OF THE STATE,

WITH AN ABSTRACT OR DIGEST OF THE MATERIAL POINTS AND FEATURES
OF THE CONSTITUTIONS OF THE UNITED STATES AND THE TWENTY-
FOUR SEVERAL STATES OF THE UNION,—AND THE EXISTING
PROVISIONS OF THE ACT FOR CALLING A CONVENTION.

NEW-YORK :
PRINTED BY JOSEPH KINGSLAND & CO.
1821.

As a Convention is soon to be held, *“for the purpose of considering the Constitution of this State, and making such alterations in the same as they may deem proper, and to provide the manner of making future amendments thereto,”* the Editor of the following pages has flattered himself that he should render a public service by furnishing an abstract of the law calling the Convention; the Constitution as it was originally framed; and a digest of the different state constitutions, to serve as a **MANUAL**, not only for those who may be more immediately called to act on this important occasion, but as a general summary of the constitutional provisions of the different states, interesting alike to the statesman and to the citizens at large.

CONTENTS.

I. The existing provisions of the law calling a Convention.

II. The Constitution of the State of New-York.

III. A digest of the principal features of the Constitutions of the United States and of the twenty-four several states, under the following heads, viz.

1. Qualifications of Electors.
2. Elections, their periods, duration, &c.
3. Passage of laws, checks, and restrictions.
4. Officers, how appointed or elected.
5. Tenure and periods of office.
6. Persons ineligible to the Legislature.
7. Governors, how elected.
8. Legislatures, their sessions, &c.
9. Amendments to Constitutions, how provided for, &c.

SYNOPSIS

OF

The Principal Features

OF

THE CONSTITUTIONS OF THE UNITED STATES,

AND

THE SEVERAL STATES.

ELECTORS—their Qualifications, &c.

[To CONGRESS.—All qualified to vote for the popular branch of the legislature in each state.]

In *Maine*—Every male citizen of the United States 21 years old,* 3 months resident—excepting persons not taxed, in naval or military service, students, &c.

New-Hampshire—Every male inhabitant, of 21 years of age, 3 months resident in the state—excepting students, paupers, &c. as usual.

Massachusetts—Every male citizen, resident 1 year in the state, and 6 months in the town, who has paid a tax within 2 years, or is exempted by law from taxation.

Rhode-Island—No constitution. By charter of Charles II., all freemen.

Connecticut—Every white male citizen, a legal resident for 6 months, with a freehold of \$7 per annum, or having performed military duty 1 year, or paid a state tax, and of good moral character.

* And so in all other states; minors being in no case known or recognised as electors;—a matter of necessary inference, although expressly provided against by the formal mention of the term of full and lawful age, 21 years, in every constitution.

Vermont—Every man, 1 year resident in the state, of quiet and peaceable behaviour.

New-York—Every male inhabitant, resident 6 months, having paid taxes, and possessing a freehold worth £20, or paying 40s. yearly rent. For senators, freeholders of £100 clear estate.

New-Jersey—All inhabitants worth £50 proclamation money clear estate, and 12 months resident.

Pennsylvania—Every freeman, 2 years resident, having paid a tax, and their sons between 21 and 22.

Delaware—Every white freeman, 2 years resident, having paid a tax, and their sons between 21 and 22.

Maryland—All freemen, with 50 acres freehold, or £30 property, and resident 1 year.

Virginia—Freeholders of 100 acres, 25 acres and a house, or a town lot, actually resident in the county.

North-Carolina—All freemen, with 50 acres freehold, 1 year resident, for senators; and all freemen, of 12 months residence, having paid taxes, for members of the house of commons.

South-Carolina—Every free white citizen, 2 years resident in the state, with a freehold of 50 acres, or resident 6 months in election district, and having paid a tax.

Georgia—Citizens and inhabitants, who have paid taxes, and 6 months resident where they vote.

Louisiana—Every free white male citizen, 1 year resident, having paid a tax, or being a freeholder.

Kentucky—Every free white male citizen, 1 year resident where he votes, or 2 years in the state.

Ohio—All white male inhabitants, resident 1 year, and having paid a state or county tax.

Tennessee—Every freeman, an inhabitant and freeholder in the state, or resident 6 months in a county.

Mississippi—Every free white male person, a citizen of the United States, 1 year resident in the state, and 6 months in the county, enrolled in the militia, or paying a tax.

Illinois—All white male inhabitants, 6 months resident in the state.

Missouri—Every free white male citizen of the United States, 1 year resident in the state, and 3 months in the county.

Alabama—Every white male of 21, a citizen of the United States, resident 1 year in the state, and 3 months in the county.

Indiana—Every white male citizen of the United States, resident 1 year in the state.

ELECTIONS—*their Periods, Durations, &c.*

[All held and concluded in one day, excepting where otherwise expressed.]

In *Maine*—On the 2d Monday of September, for governor, senators and representatives, annually forever.

New-Hampshire—In March, annually, for senate, council, and representatives.

Massachusetts—For governor, senators, and councillors, 1st Monday in April, and for representatives ten days before last Wednesday in May.

Rhode-Island—Semi-annually, 1st Wednesday in May, and last Wednesday in October.

Connecticut—Annually, 1st Monday in April.

Vermont—Annually, 1st Tuesday in September.

New-York—Annually, last Tuesday in April, and the two following days.

New-Jersey—Yearly, 2d Tuesday in October.

Pennsylvania—2d Tuesday of October.

Delaware—1st Tuesday of October.

Maryland—1st Monday of October.

Virginia.

North-Carolina.

South-Carolina—Biennially, 2d Monday in October and day following.

Georgia—Annually, 1st Monday in November.

Louisiana—1st Monday in July, every two years.

Kentucky—1st Monday in August, every year, and two days longer, if desired.

EXHIBIT 2

David W. Blight, *Frederick Douglass: Prophet of Freedom* [2018] (excerpt)

FREDERICK DOUGLASS

PROPHET *of* FREEDOM

DAVID W. BLIGHT

Simon & Schuster

NEW YORK LONDON TORONTO SYDNEY NEW DELHI



Simon & Schuster
1230 Avenue of the Americas
New York, NY 10020

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Front endpaper: Frederick Douglass, February–April 1863, Westfield, Massachusetts, Thomas Painter Collins photographer, *carte de visite*.

Rear endpaper: Frederick Douglass, May 10, 1894, Denis Bourdon photographer, Notman Photograph Company, Boston, Massachusetts.

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SACRED EFFORTS

And the dove came in to him in the evening; and, lo, in her mouth was an olive leaf plucked off: so Noah knew that the waters were abated from off the earth.

—GENESIS 8:11

During the final months of the Civil War, Frederick Douglass's emotions and ideas careened from fear to exhilaration, from hope to despair, and in and out of Old Testament-style tribulation and redemption. In a special address to a black convention in Syracuse in October 1864, Douglass tackled many of the war's personal and existential meanings. This national gathering, the first such convention to be held in nine years, attracted approximately 150 representatives from eighteen states, including small delegations from Mississippi, Missouri, Florida, Virginia, and North Carolina. The delegates collectively denounced colonization and explicitly demanded "equality before the law."¹

Possibly no previous convention had drawn a list of African American luminaries of such diverse backgrounds and talents. Virtually every major black religious, political, literary, or community leader attended. For nearly four days, all manner of rivalries were largely checked at the door while such men as Henry Highland Garnet, William Wells Brown, George T. Downing, John Mercer Langston, Jermain Loguen, and others matched wits over the great issues of the war: equality in the army for black soldiers; the dire necessity of complete abolition as the war's aim; equal civil and political rights in the aftermath of emancipation. These men were both former slaves and freeborn; some were longtime "friends" of Douglass's, and others had already spent much energy as his rival, a trend that would only increase in the postwar era. Douglass's closest black comrade, James McCune Smith, ill with the beginnings of congestive heart failure, did not join the fifty-three-member New York delegation.²

The delegates elected Douglass as their president; he was ushered with "great applause" to the stage by the younger, Oberlin-educated Langston. Douglass swiftly announced in his sonorous voice that "the cause which we come here to promote is sacred." He envisioned the "wide, wide world" watching them as they promoted no less than the "freedom, progress, elevation, and perfect enfranchisement of the entire colored people." On the following mornings or evenings the convention would begin with prayers by the Reverend Garnet or others, and strikingly, with song, including "John Brown's Body," "Blow Ye the Trumpet, Blow," and on the final day, Julia Ward Howe's recently composed "Battle Hymn of the Republic." How moving it must have been to hear full-throated renditions of such paeans to the emancipationist vision of the war and of the old Exodus story. At least one woman, Edmonia Highgate of Syracuse, addressed the convention, introduced by Douglass. The minutes do not record her speech, except to say that Highgate urged the men to steadfastness in the cause until the "glorious day of jubilee shall come." Most poignant of all, at the afternoon session of the second day, Garnet took the lead in unfurling across the platform the battle flag of the First Louisiana Colored Troops, a unit that had achieved fame for its bravery at the battle of Port Hudson in July 1863.³

Delivered on the final day of the convention, Douglass's speech was both celebration and warning. Since the Republicans did not want him out on the stump campaigning, he delivered his own political accounting to his fellow African Americans on this election eve. He addressed the question of history itself, bounding forward it seemed, to illuminate new barriers and obstacles to progress. In eloquence tinged with anger and anxiety, Douglass appealed to his own people, but especially to the generic "you" of white Northerners about to go to the polls. Nations could "learn righteousness" from supreme crises, he argued, and this was a moment when "mourning mingles everywhere with the national shout of victory." Douglass asserted that the opportunity to crush slavery, throw back racism, and reinvent the American republic around principles of racial equality "may not come again in a century."⁴

Everything was at stake in defeating the Democrats and finishing the war. Douglass provided a litany of the horrors that would result if the Democrats, allied with Confederates, managed a negotiated peace settlement, resulting in the reestablishment of "the white man's country," and the obliteration of "all the lessons taught by these four years of fire and sword." Douglass portrayed the Democrats as the enemies of mankind, and of history itself. They were the "fiendish . . . hellhounds" ready to pounce on

black people and their allies at their first grasp of power. They had cleverly cultivated the political landscape with what the next century would call Orwellian language. Avoiding the words *slavery* or *slaves* or *slaveholders*, Douglass maintained, Democratic doublespeak sought the "perpetuation" of slavery in their platform under the guise of such "verbiage" as *private rights* or the *basis of Federal Union* or *the Constitution*.⁵

Douglass took up the meaning of friends and enemies, claiming to be as worried about the Republicans' hostility to black voting rights as to the Democrats' darkest aims. "It is . . . not the malignity of enemies alone we have to fear," he announced, "but the deflection from the straight line of principle by those who are known throughout the world as our special friends." Douglass worried about possible peace plans that might get consummated before slavery legally ended. As though directly addressing Congress and a reelected President Lincoln, he employed a moving refrain four times in a single paragraph calling for the Thirteenth Amendment: "We implore you to abolish slavery," he sang out over and over. Only then, he believed, would slavery's destruction and the "national welfare" achieve "everlasting foundations."⁶

Then Douglass signaled what would be for him a primary argument throughout the postwar era—he demanded in the classic terms of political liberalism the franchise as the greatest of all rights. Arguing from natural-rights moral doctrine, Douglass contended that in a republic all elements of liberty—"personal freedom; the right to testify in courts of law; the right to own, buy and sell real estate; the right to sue and be sued"—depended for protection on suffrage. The vote, said Douglass, was the "keystone to the arch of human liberty." But he also accurately anticipated that the black male vote would bring great practical value to Republicans in the postwar era. The only guarantee about a postemancipation order in the South, he said, was the "sullen hatred towards the National Government" and the freedmen on the part of ex-Confederates. Theirs would be a "sacred animosity" toward their conquerors, black and white. "We may conquer Southern armies," proclaimed Douglass, "but it is another thing to conquer Southern hate." The only weapon available was the votes of 4 million new "friends."⁷ With these astute strokes of war propaganda and moral philosophy, Douglass awaited the election results.

The convention delegates in Syracuse had good reason for anxiety over the presidential election of 1864. They had keenly observed events for months,

as the Thirteenth Amendment abolishing slavery, supported by Lincoln, had passed in the Senate but failed of the two-thirds majority in the House of Representatives. With the war in terrible military stalemate in Virginia and Georgia, Lincoln and his administration fell into turmoil over its own emancipation policy. "Peace Democrats" relentlessly attacked Lincoln and emancipation as the obstacles to ending the bloodshed. His reelection in danger, the president authorized an ill-advised, informal peace mission to meet with Confederate representatives. But the effort backfired on Lincoln. He allowed the nettlesome Horace Greeley to go to Niagara Falls, Canada, in July and meet with what turned out to be bogus Confederate representatives. Lincoln crafted a brief letter addressed infamously "To Whom It May Concern," declaring that "any proposition" for peace would be received from Confederates as long as it included reunion of the states and the "abandonment of slavery." The Niagara letter was a public-relations disaster. Confederate sympathizers in the North (known to their foes as Copperheads) and the Democratic Party newspapers seized on this news and pilloried Lincoln as the bloodthirsty war maker standing in the way of peace in order to free slaves. The *Cincinnati Enquirer* declared Lincoln's clandestine actions "a finality, which . . . will preclude any conference for a settlement. Every soldier . . . that is killed, will lose his life not for the Union, the Stars and Stripes, but for the Negro."⁸ From here, the Democratic campaign of 1864 descended into ever-more-savage racism, driving many Republicans into obfuscation on the emancipation amendment.

From where Douglass and other black leaders sat, the Republicans only added fuel to the Democrats' racist fires. No less than Secretary of State William Seward and Secretary of the Interior John Usher suddenly denied that emancipation would be a condition of reunion if Lincoln was reelected. They asserted, confusingly, that abolition would be left "to the arbitrament of the courts of law." Douglass called out Seward by name and quoted him at length in the Syracuse speech. The secretary's "studied words" at this crucial time could only mean that the federal government was about to "not only . . . make peace with the Rebels, but to make peace with slavery." Like a prophet in despair, Douglass felt betrayed, thrown back into 1861-62 and onto his apocalyptic imagination. The "surest . . . ground of hope" now, he said, was in the "madness" of the Confederates to continue their war until "destitute . . . and . . . divested of their slaves."⁹ This was hardly the prescription for the "abolition war" in which he had placed confidence in 1863. But betting on his enemy's actions had become an old habit.

Most dismaying of all was the Democrats' racist rampage in using the

label of "miscegenation" on Lincoln and the Republicans. The very term was first employed in early 1864 in a pamphlet, *Miscegenation: The Theory of the Blending of the Races*, written by two reporters, David Croly and George Wakeman (although published anonymously), at the Democratic *New York World*. The pernicious pamphlet purported to be crafted by Republicans touting the values of interbreeding blacks and whites to improve both. Most people detected the hoax, but the idea exploded as a political weapon more lethal than any impending constitutional amendment. Congressmen picked up the term and used it to attack Republican measures such as early efforts to establish the Freedmen's Bureau. Demonstrating white men's fears about racial purity as well as gender disorder, the tactic also exposed the depth of white supremacy abolitionists confronted.¹⁰

Democrats labeled Lincoln "Abraham Africanus I" and the "original orangoutang," suggesting he had African ancestors. Democratic campaign handbills, lithographs, and songs about race mixing as the "Republican solution" for the war flooded the North. "All the painful woes that wreck our lovely land," moaned one ditty, "Are due the Abolitionists, the Miscegenation Band." A widely distributed print from the *New York World* showed the scurrilous caricature of "The Miscegenation Ball," a fake dance held at the "Central Lincoln Club" for "colored belles" and white Republican men pining with "love sick glances" for the "octoroons."¹¹ Frightening and disheartening to African Americans, this kind of race-baiting politics knew no bounds; at the polls, however, it did not work with enough white voters, especially after Union military successes in Georgia, the Shenandoah Valley, and Mobile Bay turned the tide in Lincoln's favor.

In this extraordinary wartime election, in which nineteen states allowed soldiers to vote at the front, the incumbent president carried the popular vote by 2,206,938 to McClellan's 1,803,787. In the electoral college Lincoln won 212–21. Despite fears that a good deal of the Union army might still be loyal to one of its former generals, Lincoln carried a stunning 78 percent of the soldier vote. Those thousands of men in blue who stood at ballot boxes in Virginia, Georgia, Tennessee, or Mississippi knew they were casting their vote for emancipation as well as saving the Union. Few black soldiers could vote in their states, but that did not stop their own officers at the front from letting them express themselves. Christian Fleetwood, a free black man from Baltimore and twenty-four-year-old noncommissioned sergeant major in the Fourth US Colored Troops, left this simple line in his diary: "Nov. 8, 1864—polled the regiment. 300 majority for Lincoln." Fleetwood, who could not yet vote in Maryland, earned the Congressional

Medal of Honor for extraordinary heroism at the Battle of Chaffin's Farm, near Petersburg, Virginia, September 29, 1864.¹²

On Election Day, November 8, Douglass voted in Rochester. A local citizen later reminisced about working at the poll and tallying the famous orator's ballot for Lincoln. That night the two men were walking back into downtown Rochester to follow the nationwide returns at the telegraph office. Four drunken white men blocked the street and challenged Douglass by shouting, "Nigger." According to this witness, "Douglass stepped right out in front of them" and with fists raised challenged them in return. "Come on I am ready to settle this thing with you right here and now." The drunken cowards "slunk out of the way and into the darkness," wrote the former poll worker. He asked Douglass if he was hurt, to which the former editor replied, "Oh, no, I am not hurt in the least; the boys were probably not pleased with the news they had heard and wanted to give vent to their disappointment." That night, asserted this witness, Douglass owned a "physical victory as well as a great political triumph."¹³ The tortured election season of fear and racism had ended in relief and reasons to believe the war had made a profound turn toward Union and abolitionist victory.

On the Sunday after the election a celebration took place at Spring Street AME in Rochester. Douglass took to the pulpit to praise the reelection of Lincoln and to announce that he was about to embark on a special journey to Baltimore, his first-ever public return to the city where he had escaped from slavery. In the comfort of this hometown congregation, he drew on metaphors from Genesis and Noah's Ark. The "waters of the flood were retreating," he rejoiced, and he saw a "sign that the billows of slavery are rolling back to leave the land blooming again." In the ancient story, Noah had sent a dove flying out the window of the ark to determine if the waters of the great flood had receded; the bird returned the first time with an "olive leaf" in its bill. The second time the dove did not return, and Noah "removed the covering of the ark and looked, and behold, the face of the ground was dry." We cannot know for certain how Douglass prepared for such speeches or sermons, but that he consulted the first book of his Old Testament to grapple with the meaning of the Republican victory and the new prospects for emancipation is profoundly telling. He was not merely trying to connect with his black church audience; he reached, as he had so many times before, for ancient wisdom and metaphor, for a sense of sacred transformation amid the profane violence of war and the sordid practices of politics. He mixed the prophetic voices of the Hebrew prophets with his own. Something about the human capacity for folly to thwart good had just oc-

EXHIBIT 3

*Debates and proceedings in the New-York State Convention, for the revision
of the Constitution [1846] (excerpt)*

Debates and proceedings in the New-York state convention, for the revision of the constitution / By S. Crosswell and R. Sutton, reporters for the Argus.

New York (State).

Albany : Printed at the office of the Albany Argus, 1846.

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DEBATES

AND

3983-1

PROCEEDINGS

IN THE

Constitutional
NEW-YORK (STATE) CONVENTION, 1846

FOR THE

REVISION OF THE CONSTITUTION.

BY. S. CROSWELL AND R. SUTTON,
Reporters for the Argus.

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.....
1846.

NATURALIZATION LAWS.

Mr. WORDEN offered the following:

Resolved, That the committee on the elective franchise, inquire into the expediency of providing in the constitution for the exercise of the right of suffrage, so that in no instance shall the exercise of that right depend on the naturalization laws of congress.

Mr. WORDEN said, as his friend from Erie had been so unfortunate as to be misunderstood, he begged leave to say a word in regard to the resolution he had first offered, that he (Mr. W.) might not be misunderstood. As the constitution now stood, the right of suffrage was conferred on citizens, but the constitution did not say whether persons should be citizens of this state or of the United States. There was no provision in our constitution or law by which persons could become or be made citizens of this state, as contradistinguished from citizens of the United States. We had virtually by our statutes given a construction to the word citizens, as used in our constitution, and we had held—or such was the law—that no person, not a natural born citizen, could become a citizen of this state, except through the action of the federal Congress. He desired to present the question whether it would not be wise in us to establish a rule in that respect, totally independent of the action of Congress.—As the matter now stood, Congress might enlarge or restrict the period of residence necessary to citizenship, and in this way affect the interests of this State, or what might be supposed to be its interests, and might legislate against the express will of the people of this state. As to naturalization, it was early decided, under the federal constitution, that each state had the power to pass naturalization laws for itself. At an early day the circuit court of the U. S. for Pennsylvania, made that decision. Subsequently, there were dicta to the contrary in the Supreme Court of the United States. But more recently, an able and learned judge of this court, now deceased, had classed this power to pass naturalization laws as among those powers which each state might exercise in connection

with the federal congress. He thought the subject was one worthy of examination, and he desired nothing more. And he only said this that his object might not be misunderstood in presenting the resolution. He thought it expedient that we should have a fixed rule of suffrage, as applicable to that class of persons called aliens—and that their right to vote should in no case depend on the action of the federal congress.

Mr. W.'s resolution was adopted.

Mr. CHATFIELD here remarked, that two or three members had submitted resolutions of instruction, with the view of presenting their own opinions, and drawing out those of others, on matters connected with the new Constitution. He suggested that some one of these resolutions be now taken up, if the movers of them were now prepared to discuss them. He would call for that offered by the member from Seneca (Mr. BASCOM)—as to the propriety of discontinuing tribunals of exclusive equity jurisdiction.

Mr. BASCOM said he had not intended to call up his resolution to-day. It was offered merely to have it lay on the table—to be taken up when the Convention might not have other business before them—with a view to discussion. If the gentleman from Otsego desired to call it up, Mr. B. had no objection. He did not move it himself.

Mr. KIRKLAND thought it rather premature to discuss so great a change as this proposed, now. Besides, this matter was before the judiciary committee, and they would be ready to report no doubt within a reasonable time—and perhaps no benefit could arise from a discussion, before.

Mr. PATTERSON said he should judge from indications that the grist was pretty much ground out for to-day. He suggested that we adjourn. If any more resolutions were to be offered, or any business to be done, let us have it. Perhaps we might as well test the question—he moved an adjournment.

The Convention adj. to 11 o'clock to-morrow morning.

TUESDAY, JUNE 16.

Prayer by the Rev. Mr. BRITTON.

COMMITTEE ON RETURNS.

The PRESIDENT announced the following as the committee of five directed to be appointed yesterday, on the returns of clerks of courts and surrogates:—Messrs. J. J. TAYLOR, HAWLEY, ST. JOHN, CANDEE and O'CONOR.

Mr. RHOADES presented returns from the clerk of the supreme court in the city of Albany for the first six months of the year 1845, which were referred to the committee of five.

JUDICIARY SYSTEM.

Mr. SHEPARD said he had a proposition for a judiciary system, which he wished to have referred to the committee on the judiciary. It did not contain all the details he had heretofore contemplated, inasmuch as some of them had been anticipated by other propositions which had been presented—it was therefore incom-

plete, but as an outline he wished it referred. He read it as follows, and it was referred as desired:—

Resolved. That the judiciary committee consider the propriety of the following propositions:—

1. The division of the state into eight judicial circuits.
2. The establishment of three common law courts, of general and concurrent jurisdiction, to consist of not more than eight judges each, who shall be required to hold their terms according to the demands of business, and with reference to its most speedy dispatch.
3. The arrangement of the circuits so that no judge shall hold court two consecutive terms for the same circuit.
4. The establishment of practice courts, to be held by the said judges, for the adjudication of all questions of practice, in the first instance, that may arise in their respective courts.
5. The hearing of certioraris and appeals from the justices' courts before one of the judges of one of the said common law courts, to be designated—which hearing, and the decision thereon, shall be final.
6. The hearing of certioraris to other officers, pro-

EXHIBIT 4

Hanes Walton, Jr., *The African American Electorate: A Statistical History*
[2012] (excerpt)

A STATISTICAL HISTORY, VOL. 2

HANES WALTON, JR., SHERMAN C. PUCKETT, AND DONALD B. DESKINS, JR.

In order to gather the necessary empirical data, we turn to the United States censuses conducted during the Antebellum Era.

First, we must take into account the limitations in the census data during this era. No gender breakdown by race was presented in the census data until 1820. Hence, there is no such data usable to isolate African American voters for the census years of 1790, 1800, and 1810. However, beginning with the 1820 census, the number and percentages of voting age Free-Men-of-Color in counties of every state that allowed them to vote is given. Next, we obtained the votes and percentages of the vote in counties of these states for each political party in every presidential election from 1828 through 1860. This county-level presidential voting data and Free-Men-of-Color population data have been combined for the first time ever and placed in Appendix A of this volume.

Secondly, with this county-level census data and the county-level presidential voting data, we can, for the first time, use the statistical technique of correlation to see if there is an association of the Free-Men-of-Color voters with any of the political parties that the historical literature illuminated. Typically, historians and political scientists correlate the total African American county-level population with the county-level presidential vote. Such

an approach tends to overstate the relationship between the two variables because the total population includes women, children, and infirm individuals who did not or could not vote. For our analysis, we eliminated that problem by taking only African American males and using only the states where they had the legal right to vote to determine if a significant statistical correlation occurred.

Our analysis shows that such a relationship occurred almost continuously in two states, Massachusetts and New York, for presidential elections during the Antebellum Era. Table 6.4 shows the strengths of those state-level correlations in a longitudinal manner. Although the correlations are low, this is to be expected simply because of the numbers; the electorate percentages of Free-Men-of-Color voters are small. However, the correlations are statistically significant. For example, Table 6.4 shows that at the 95% confidence level nearly 30% of each percentage increase of the vote in New York for Jackson in the presidential election of 1832 was associated with the presence of Free-Men-of-Color. Another example, in Table 6.5, shows that 42% of each percentage increase of the opposition vote in Pennsylvania against Jackson in the same election was associated with the presence of Free-Men-of-Color. The statistically significant correlations

Table 6.4 Correlations of Voting Behavior Percentages: Voting Age African Americans and Votes for U.S. Presidential Candidates in Massachusetts and New York, 1828–1860

State	Year	Number of Counties	Candidate	Political Party	Correlation	Significance Level
Massachusetts	1828	13	J.Q. Adams	National Republican	−0.7761	0.01
	1828	13	Others		0.6911	0.01
	1832	14	Clay	National Republican	0.5332	0.05
	1844	14	Birney	Liberty	−0.6565	0.05
	1844	14	Clay	Whig	0.5540	0.05
	1844	14	Others		0.7062	0.01
	1848	14	Others		0.8448	0.01
	1848	14	Taylor	Whig	0.5821	0.05
New York	1832	55	Clay	National Republican	−0.2938	0.05
	1832	55	Jackson	Democratic Republican	0.2938	0.05
	1844	56	Birney	Liberty	−0.5449	0.01
	1848	56	Cass	Democratic	0.3169	0.05
	1848	56	Taylor	Whig	0.4328	0.01
	1848	56	Van Buren	Free Soil	−0.4780	0.01
	1852	59	Hale	Free Soil	−0.4856	0.01
	1852	59	Pierce	Democratic	0.3719	0.01
	1856	59	Buchanan	Democratic	0.4398	0.01
	1856	59	Fillmore	American Know Nothing	0.5166	0.01
	1856	59	Fremont	Republican	−0.5778	0.01
	1860	60	Breckinridge	S. Democratic	0.4891	0.01
	1860	60	Lincoln	Republican	−0.4894	0.01

Sources: ICPSR Study No. 1, *United States Historical Election Returns, 1824–1968*, 2nd ICPSR ed. [Computer File], <http://dx.doi.org/10.3886/ICPSR00001> (Ann Arbor, MI: Inter-university Consortium for Political and Social Research), retrieved June 2002; Jerome M. Clubb, William H. Flanigan, and Nancy H. Zingale, ICPSR Study No. 8611, *Electoral Data for Counties in the United States: Presidential and Congressional Races, 1840–1972* [Computer File], <http://dx.doi.org/10.3886/ICPSR08611> (Ann Arbor, MI: Inter-university Consortium for Political and Social Research), retrieved June 2002; and Geospatial and Statistical Data Center, *Historical Census Browser*, <http://fisher.lib.virginia.edu/collections/stats/histcensus/index.html> (Charlottesville: University of Virginia), retrieved April 13, 2008. See Appendices 6.A.1–6.A.9.

suggest that the historical literature is quite meaningful. These data tell us that at least in these two states Free-Men-of-Color voters were almost always either active or influential in these presidential elections.

Table 6.5 reveals that in the states of Pennsylvania, North Carolina, and Rhode Island Free-Men-of-Color voters were intermittently active in presidential elections. As we have mentioned, North Carolina and Pennsylvania African Americans lost the right to vote in 1835 and 1838, respectively. Thus, these limited voting data occurred in part because of legal realities. In Rhode Island, Free-Men-of-Color voters were denied their suffrage rights in 1822 but gained them back in 1842, so legal reasons also existed there.

Finally, after establishing that a significant statistical correlation existed between free blacks and certain presidential parties, we performed some partial correlational analyses where we controlled

for competing party variables. We found that significant partial correlations existed for some years in Pennsylvania, New York, New Hampshire, and North Carolina. Table 6.6 indicates the presidential election years in which the partial correlations occurred and gives us the strength of those partial correlations. And while these partials occurred across time, they did so in only a selected number of years. Moreover, these partial correlations tell us that the relationships between the free black voters and these presidential parties held even when everything else was controlled for.

Hence, out of our empirical analyses, we obtain the insight and suggestion that the data help to corroborate the findings and insights in the historical literature. African Americans in national elections voted for those candidates and parties that their political context and culture allowed them to identify and affiliate with, as well as for those who spoke to their interests about suffrage rights, slavery, and equal rights.

Table 6.5 Correlations of Voting Behavior Percentages: Voting Age African Americans and Votes for U.S. Presidential Candidates in North Carolina, Pennsylvania, and Rhode Island, 1828–1860

State	Year	Number of Counties	Candidate	Political Party	Correlation	Significance Level
North Carolina	1828	62	Others		0.5374	0.01
Pennsylvania	1828	47	Jackson	Democratic Republican	−0.4254	0.01
	1828	47	J.Q. Adams	National Republican	0.4254	0.01
	1832	49	Jackson	Democratic Republican	−0.4934	0.01
	1832	49	Wirt	Anti-Masonic	0.4934	0.01
Rhode Island	1852	5	Hale	Free Soil	−0.8833	0.05

Sources: ICPSR Study No. 1, *United States Historical Election Returns, 1824–1968*, 2nd ICPSR ed. [Computer File], <http://dx.doi.org/10.3886/ICPSR00001> (Ann Arbor, MI: Inter-university Consortium for Political and Social Research), retrieved June 2002; Jerome M. Clubb, William H. Flanigan, and Nancy H. Zingale, ICPSR Study No. 8611, *Electoral Data for Counties in the United States: Presidential and Congressional Races, 1840–1972* [Computer File], <http://dx.doi.org/10.3886/ICPSR08611> (Ann Arbor, MI: Inter-university Consortium for Political and Social Research), retrieved June 2002; and Geospatial and Statistical Data Center, *Historical Census Browser*, <http://fisher.lib.virginia.edu/collections/stats/histcensus/index.html> (Charlottesville: University of Virginia), retrieved April 13, 2008. See Appendices 6.A.1–6.A.9.

Table 6.6 Partial Correlations of Voting Behavior Percentages: Voting Age African Americans and Votes for U.S. Presidential Candidates, 1828–1860

State	Year	Number of Counties	Candidate	Political Party	Correlation	Significance Level
New Hampshire	1848	8	Cass	Democratic	−0.8928	0.017
	1848	8	Taylor	Whig	−0.8928	0.017
	1848	8	Van Buren	Free Soil	−0.8918	0.017
New York	1832	55	Clay	National Republican	−0.2938	0.029
	1836	55	Harrison	Whig	0.3067	0.024
	1836	55	Van Buren	Democrat	0.3067	0.024
North Carolina	1828	62	Others		0.5297	0.000
Pennsylvania	1828	47	Jackson	Democratic Republican	−0.4254	0.003

Sources: ICPSR Study No. 1, *United States Historical Election Returns, 1824–1968*, 2nd ICPSR ed. [Computer File], <http://dx.doi.org/10.3886/ICPSR00001> (Ann Arbor, MI: Inter-university Consortium for Political and Social Research), retrieved June 2002; Jerome M. Clubb, William H. Flanigan, and Nancy H. Zingale, ICPSR Study No. 8611, *Electoral Data for Counties in the United States: Presidential and Congressional Races, 1840–1972* [Computer File], <http://dx.doi.org/10.3886/ICPSR08611> (Ann Arbor, MI: Inter-university Consortium for Political and Social Research), retrieved June 2002; and Geospatial and Statistical Data Center, *Historical Census Browser*, <http://fisher.lib.virginia.edu/collections/stats/histcensus/index.html> (Charlottesville: University of Virginia), retrieved April 13, 2008. See Appendices 6.A.1–6.A.9.

EXHIBIT 5

L.B. Proctor, *Lives of Eminent Lawyers and Statesmen of the State of New York, with Notes of Cases Tried by Them, Speeches, Anecdotes, and Incidents in Their Lives* [1882]



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L. B. Proctor. *Lives of Eminent Lawyers and Statesmen of the State of New York, with Notes of Cases Tried by Them, Speeches, Anecdotes, and Incidents in Their Lives* (1882).

ALWD 7th ed.

Proctor, L. B. *Lives of Eminent Lyers & Statesmen of the State of New York, with Notes of Cases Tried by Them, Speeches, Anecdotes, & Incidents in Their Lives* (1882).

APA 7th ed.

Proctor, L. B. (1882). *Lives of Eminent Lawyers and Statesmen of the State of New York, with Notes of Cases Tried by Them, Speeches, Anecdotes, and Incidents in Their Lives*. New York, S.S. Peloubet & Co.

Chicago 17th ed.

Proctor L. B. *Lives of Eminent Lawyers and Statesmen of the State of New York, with Notes of Cases Tried by Them, Speeches, Anecdotes, and Incidents in Their Lives*. New York, S.S. Peloubet & Co.

McGill Guide 9th ed.

L. B. Proctor, *Lives of Eminent Lyers & Statesmen of the State of New York, with Notes of Cases Tried by Them, Speeches, Anecdotes, & Incidents in Their Lives* (New York: S.S. Peloubet & Co., 1882)

AGLC 4th ed.

L. B. Proctor, *Lives of Eminent Lawyers and Statesmen of the State of New York, with Notes of Cases Tried by Them, Speeches, Anecdotes, and Incidents in Their Lives* (S.S. Peloubet & Co., 1882)

MLA 8th ed.

Proctor, L. B. *Lives of Eminent Lawyers and Statesmen of the State of New York, with Notes of Cases Tried by Them, Speeches, Anecdotes, and Incidents in Their Lives*. New York, S.S. Peloubet & Co. HeinOnline.

OSCOLA 4th ed.

Proctor, L. B. *Lives of Eminent Lawyers and Statesmen of the State of New York, with Notes of Cases Tried by Them, Speeches, Anecdotes, and Incidents in Their Lives*. New York, S.S. Peloubet & Co.

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ALVAH WORDEN.

Commences Life as a Merchant.—His Character, Acquirements, and Industry.—Demagogues.—Mr. Worden's Opinion of Them.—His Parentage and Birth.—Prepares for College.—His Love for the Natural Sciences.—Decides not to Enter College.—Commences the Study of Medicine.—Abandons that Study and turns his attention to Mercantile Pursuits. Makes the Acquaintance of a Prominent Merchant of Auburn, New York.—Worden Enters his Store.—Pleases his Employer.—Accepts a Position in the Auburn Bank.—Commences Business as a Merchant on his own Account.—For a Time, Meets with Great Success.—His Marriage to a daughter of the late Judge Miller.—Worden's Failure.—Commences the Study of Law.—Elected a Justice of the Peace.—Admitted to Practice.—His Success.—Engages in the Trial of a Cause against Mark H. Sibley.—Succeeds.—Forms a Partnership with Mr. Sibley and removes to Canandaigua.—His Professional Progress.—Worden is Engaged in the Celebrated Case of Griffith *v.* Reed.—Is Defeated by the Referees.—Appeals to the Supreme Court.—Worden Attends that Court, prepared to argue it himself against Marcus T. Reynolds.—Worden Loses his Trunk.—Appears in the Court Room, at Utica, in his Common Clothing.—His Appearance.—Remarks of Foppish young Lawyers.—The Argument.—Interesting Scene.—Apparent Triumph of Reynolds.—Defeat Turned into Victory.—Success of Worden's Argument.—Its Effect.—Other Important Cases in which he was Engaged.—Worden and the General Term Lawyers of the Old Supreme Court.—He is Elected to the Legislature.—His Legislative Career.—His Efforts for the Passage of a Law Providing for a Constitutional Convention.—Law Passed.—The Convention.—Mr. Worden a Delegate.—Candidate for President.—Is Strongly Sustained.—John Tracy Chosen.—Mr. Worden's Labors and Activity in the Convention.—His Great Speech on the Powers of the Executive.—Other Speeches.—His Plan for a Judiciary.—Closing Scenes of the Convention.—Resolution offered that Members Sign the Constitution.—Opposed by Charles O'Connor.—Sustained by Worden and others.—Adjournment of the Convention.—R. H. Walworth, J. A. Collier and Mr. Worden, Appointed Codifying Commissioners.—Walworth and Collier Resign.—Worden's Labors and Duties.—Reappointed a Code Commissioner.—Returns again to the Duties of his Profession.—Great Case of The Farmers' Loan & Trust Company *v.* Carol.—Great Argument of, before the Supreme Court.—Worden, J. C. Spencer, Kirkland, Wm. C. Noyes and Hiram Denio engaged.—Interesting Scene in the Court Room.—Description of the Arguments.—Reflections on the Professional and Political Life of Alvah Worden.

Alvah Worden first entered the theater of life, on his own responsibility, in the character of a merchant. In this vocation, at a very early age, he developed

that correct and ready knowledge of human nature, that thorough understanding of business in principle and in detail, that sound practical sense, which gave him much prominence as a man of business.

His fine mind, his many intellectual endowments, at length, by an easy transition, led him to the legal profession. With an industry which no excess of toil could weary, he applied himself to the study of law as a science, a system for the well being of society, as regards the enjoyment of civil rights, the prevention of crime, and the encouragement of virtue. He sought its fundamental principles as well as the detail of each precedent and legislative rule, reducing them to the test of reason alone ; and when he came to his examination, he possessed, *in extenso*, the qualities and acquirements of a thorough lawyer.

Acute, sagacious, reflecting, with a plain, masculine, commanding eloquence, which ignored superfluous decoration and fancy, alike powerful in reason, attractive in ethical beauty and logical skill, he soon gained a commanding position at the bar of his native State, and took a high rank among the distinguished civilians of his day.

Among his faults, there was a certain firmness which sometimes degenerated into obstinacy,—a confidence in the principles he advocated, which was often blended with contempt for those who differed with him. His unbending honesty and straightforward integrity, in his intercourse with men, especially in politics, often led him to neglect that spirit of conciliation, which in a government like ours, conducted by the stratagem of party, is necessary in the attainment of important and responsible positions. It was a distinguishing feature in his character, that he would neither yield his judgment to the clamor of the populace, nor suffer himself to be swerved from the line of what he deemed to be his duty, by the artifices of demagogues ; and hence, Mr. Worden was not an accomplished politician, simply because he did not

desire to be one. He regarded politics, in the detail, as a kind of machine, whose motive power is petty ambition, lubricated by intrigue, deceit and mendacity, which, by a strange metamorphose, often changes pigmies into giants, who, in the language of Bacon, "have not their thoughts established by learning, in the love and apprehension of duty, nor ever look abroad into universality, do refer all things to themselves, and thrust themselves into the center of the world, as if all time should meet in them and their fortunes, never caring, in all tempest, what becomes of the ship of State, so that they may save themselves in the boat of their own fortunes."

Alvah Worden was born at Milton, in the county of Saratoga, June 11, 1798. At the age of fourteen he entered Milton Academy, then a very flourishing institution for young men, where he prepared to enter the junior class of Union College. But instead of going to college, he decided to follow the medical profession, and commenced his studies with the late Doctor John Bennett, of Ballston Spa, one of the most eminent and honored physicians in the State.

During his studentship at Milton, his mind was attracted to the study of natural science, especially of chemistry, and he made considerable progress in agricultural chemistry, which then began to be studied in the State. Some of his letters on this subject, written to the late Jesse Buell, in 1838, exhibit much research and reflection.

After pursuing his studies with Doctor Bennett for some time, he became satisfied that the life of a practicing physician would not be agreeable to him, and he abandoned the study of medicine, with a view of turning his attention to mercantile pursuits. While at Ballston, he made the acquaintance of the late George Leitch, of Auburn, then one of the great merchants of western New York. Pleased with the appearance of young Worden, he invited him to enter his store as a clerk, promising to advance his interest

as fast as would be practicable. The invitation was accepted, and Worden soon assumed the entire charge of Mr. Leitch's extensive business. He continued with him until the old Bank of Auburn was established, when he was solicited to accept the position of teller in that institution. Yielding to the request of the directory, he entered upon the discharge of his new duties with those business qualifications which soon rendered him invaluable to the bank, and gained him many friends with the public. After discharging the duties of teller two years, he decided to commence the mercantile business on his own account. Accordingly, he left the bank, much to the regret of the directory, who offered additional inducements for him to remain, opened an extensive store, and soon became the leading merchant, and an eminent citizen of Auburn. In all matters connected with the prosperity and advancement of that village, he exhibited those strong mental traits which afterwards distinguished him at the bar.

So extensive and prosperous was his business, that he sought other avenues in which to invest his gains. He purchased a cotton factory which had been established by a company at Auburn, and introduced into the prison at that place, the business of weaving cotton, which for a time became almost the sole employment of the convicts.

In the year 1824, he married a daughter of the late Elijah Miller, of Auburn, a lady of many personal attractions and accomplishments, who is still living. Mrs. William H. Seward was her sister.

After continuing in business several years with great success, a revulsion in the commercial world occurred, which caused a decline in cotton. This led to the unavoidable failure of Mr. Worden. His partner availed himself of the insolvent laws, which Worden declined to do; on the contrary, he surrendered to his creditors all of his property, including his furniture and silver plate; determined to do all that he could

towards paying his indebtedness. Having thus been repulsed in his first movement in the battle of life, he undauntedly surveyed the field and made ready for another onset. In deciding upon a future occupation, he chose the legal profession, and without a dollar in the world and heavily in debt, he commenced preparing for it

Amid the cares of his business career, he found time to study the contents of a library which he had collected with the judgment and taste of a scholar; his mind was enlarged by experience, disciplined by a thorough education, and ripened by contact with the world. Although he was then thirty-four years of age, he was in every way qualified to commence the study of a profession congenial to his taste, and to which he seemed to be directed by destiny.

Soon after commencing his studies he was elected a justice of the peace at Auburn. The income from this office, with some agencies which he obtained, enabled him to support his family while pursuing his studies. It is said that the manner in which he discharged his official duties disclosed his unusual abilities and attracted the attention of the members of the bar who appeared before him in the trial of causes.

After a thorough preparatory course, he was admitted to the bar and commenced his practice at Aurora, Cayuga county.

At this time, there was a large amount of litigation resulting from the financial difficulties of the country, and the demand for legal talent was never greater.

For a time, Mr. Worden's business was confined to Justices' Courts and to the Court of Common Pleas of Cayuga county; but the decided ability which he exhibited in the trial of causes in these courts drew the attention of the public to him, and he soon found himself engaged in a very respectable practice in the Supreme Court, with the reputation of a safe and successful lawyer. His business continued to increase, so

that he began to attend the circuits of the adjoining counties.

At length he was engaged in an important suit which was tried at the Ontario Circuit, where he was opposed by the late Mark H. Sibley, then one of the distinguished lawyers of western New York.

The case was contested inch by inch, with rare skill and great determination, resulting in a verdict for Mr. Worden.

Soon after this trial, Mr. Sibley invited Mr. Worden to enter into partnership with him; after mature deliberation the invitation was accepted, and in the year 1835, Alvah Worden became a resident of Canandaigua, and a partner of Mark H. Sibley.

It is not invidious to say that the firm of Worden & Sibley combined as much forensic power as any which at that time existed in the State. Canandaigua was then the home of John C. Spencer, Dudley Marvin, Sibley, Wilson, and others, whose names have shed a luster on their profession.

Surrounded by such men, Mr. Worden rapidly rose to eminence, and soon began to appear in the circuits at Rochester, Auburn, Penn Yan, Bath, and other counties. He did not, however, appear at the bar of the General Term until he had been at Canandaigua a year and a half; after that time he was occasionally engaged in that court. But it was not until his argument in the celebrated case of *Griffith v. Reed*, that he attained any eminence as a General Term lawyer. His effort in that case gave him a position among the most prominent members of his profession in the State.

It was a case which involved several hundred thousand dollars, and the fortune of many well known business men in western New York. It had been tried before Addison Gardner, Vincent Mathews, and Orlando Hastings, as referees, who had been appointed to hear and determine the same. Their report being against Mr. Worden's client, the cause was appealed

to the Supreme Court. In due time it was noticed for argument at Utica, where a term of the old Supreme Court was held once in a year. With case and brief he attended, prepared for the contest.

In those days, the judges and lawyers attending the term at Utica, stopped at Bagg's hotel, but as Mr. Worden's trunk which contained his best apparel had been miscarried, and he was dressed in a common traveling suit, he did not deem himself sufficiently well attired to appear at a fashionable hotel filled with the most eminent men in the State. Accordingly he sought a more obscure stopping place, to await the arrival of his trunk. His case was one of the earliest on the calendar, and he was compelled to proceed to the court room before the arrival of his trunk, "accountered as he was." Fortunately, his papers were in a package which he carried with him.

He was then a stranger to nearly all the lawyers present, and taking a retired seat in the court room, he awaited the call of his case. At length it was reached; with great modesty, but not without self-possession, he came forward and opened the argument.

Many were the half sneering glances which several foppish young lawyers within the bar cast upon the plainly dressed, ordinary looking lawyer who stood before the court. They were surprised at his temerity in appearing against one of the most distinguished lawyers in the State.

"I wonder who that fellow is?" asked one of the young attorneys of a companion.

"Oh, he is some ambitious village lawyer who is desirous of being heard before the General Term, and having his name appear in the reports; but I rather think he don't know who he has got to deal with, or he would have restrained his vaunting ambition, which he'll find has o'erleaped itself in this instance," was the reply.

In a short time however, the young men learned

that the man before them commanded the attention of the judges and the bar, and that the great lawyer opposed to him was not, after all, so much his superior. He proceeded with great caution, cited his authorities with care, and commented upon them from written points, with a plain but effective oratory. As he had the closing argument, his speech was brief.

Marcus T. Reynolds appeared against him, replying with that vigor and intellectual force for which he was so distinguished. Extensive legal reading and long attendance upon the highest courts in the State had rendered him familiar with almost every reported case, and the circumstances under which it arose. He never appeared to greater advantage than on this occasion. His apparent superiority over his opponents was noticed by the bar, and it was felt by the court; but unfortunately for him, in closing his argument, he took occasion to allude to what he said the effect would be on commercial transactions, should the court be against him, but he left the case in apparent triumph.

When Mr. Worden arose to conclude the argument, there were many in the court room who regretted to see one so humble attempt to answer an argument of such power and eloquence; to them, he seemed like one about to immolate himself in a vain attempt to save his clients. But he entered on his remarks with the calm confidence of one who could rely upon himself in any emergency; he had not proceeded far, before he began to strike out bright, strong, original thoughts, and to bring up arguments from depths which his opponent had not attempted to sound. When replying to the authorities referred to by Mr. Reynolds, he did so with great skill and with the nicest discrimination, ingeniously and with precise logic detaching the points which diverged from the case at bar. But when he alluded to the effect of the decision to be made on the com-mer-

cial relations of the country, his superiority was manifest, and he arose to a point in the case which Mr. Reynolds had not reached. The bar waked up as from an apparent slumber. Greene C. Bronson, then one of the judges, leaned forward in his chair, made a few notes, and said :

“ Mr. Worden, will you please repeat what you have just stated? I am not quite confident I fully understand you.”

The scene was changed, the battle fought, the victory won—and completely won.

During this argument, there was seated within the bar, a friend of Mr. Worden—one who through all the phases of his life had admired and honored him, never doubting his ultimate success at the bar. With many fears for his friend, he listened to the splendid argument of Mr. Reynolds,—he felt its power—saw its effect on the court and bar—knew the gigantic effort which must be made to overcome it, and with breathless anxiety awaited the result. As Mr. Worden arose in strength—as he gradually gained the eminence on which his opponent stood, and then soared above him, reaching the point of triumph, tears of joy trickled down his cheeks, and his bosom heaved with those unutterable emotions which none but those generous and noble minds can feel, whose deep-toned sensibility teaches them *how* to be a friend. Mr. Worden was fully sustained by the court, and from that time to the close of his life, the judiciary of the State, as well as his brethren of the bar, regarded him as one of its gifted lawyers.

It is, perhaps, proper to add, in the language of another, that “ Efforts have been made, but without success, to obtain a reversal of the opinion of Judge Bronson; once in the Court for the Correction of Errors, in the case of *Sudam v. Westfall*, 2 *Denio*, 205. Judge Gardiner at that time was the presiding officer of the court, and brought his great influence to bear on the question, to sustain the decision which he

had made as referee in the case of *Griffith v. Reed*; honestly believing that his was founded on established precedent; but the decision of the Supreme Court in the case of *Sudam v. Westfall* was only reversed by distinguishing it from *Griffith v. Reed*. The same question was again presented for adjudication in the Court of Appeals, in the case of *Wright v. Garlinghouse*, 26 *N. Y.*, 539; the judgment of the Supreme Court in the second district reversed, and the doctrine settled in the case of *Griffith v. Reed* maintained and declared to be the law of the State."

Those, however, who heard Mr. Worden's argument in the case of *De Bow v. The People*, 1 *Denio*, 9, assert that it was the ablest argument of his life. It evidently produced an impression on the court, for it went with him, and the opinion of Mr. Justice Bronson is well worth reading, though it was overruled by the Court for the Correction of Errors in the case of *Gifford v. Livingston*, and in *Warren v. Beers*, but by a divided court, in which strong and powerful opinions for sustaining it were given by several of its ablest members.

No questions have been more seriously litigated in this State, than those reported in 1 *Denio*, 9; 21 *Wend.*, 502; and the examination of the opinions delivered in these cases, and in that of the 26 *N. Y.*, 539, would repay the legal student for his trouble.

Another case might with propriety be cited, illustrative of Mr. Worden's power of argument, which took place before Chancellor Walworth. During this argument, a gentleman of the bar remarked that there appeared to be no decision applicable to Mr. Worden's case, to which a distinguished lawyer replied:

"Perhaps not, but there will be soon, for such an argument will not fail to produce its effect upon the chancellor."

This remark was subsequently verified, for the chancellor decided the case in his favor.

A reference to all the important cases argued by

Mr. Worden at the bar of the Supreme Court, Court of Errors, and of Appeals, would alone fill a volume.

While he was at the bar, the business of those courts was centralized and controlled by eminent "Term lawyers," who resided principally at Albany and New York. The ascendancy which Rufus W. Peckham, Nicholas Hill, Marcus T. Reynolds, Charles O'Connor, William Curtis Noyes, Daniel Lord, David Dudley Field, F. B. Cutting, J. W. Gerard, Samuel Stevens, and a few others, attained at the bar of these courts has passed into history. There were but few members of the country bar who presumed to enter the lists against these Jupiters of the profession. The immense number of heavy packages which they were constantly receiving from the country, marked "Papers for the General Term," "Papers for the Court of Errors," &c., &c., exhibited the tribute which lawyers from all parts of the State paid them.

That Alvah Worden, on his first entrance into the profession, with no influence to sustain him—relying upon his own strength, boldly and successfully grappled with these great lawyers, is evidence enough of his superiority.

He had but little political ambition; but from his position at the bar and his habits of public speaking, it was impossible for him to resist those allurements which politics have for lawyers. He identified himself with the Whig party at its formation, but his time was so entirely occupied with the duties of his profession, that for several years he avoided all offers of official distinction.

In the fall of 1840, however, he accepted the nomination for Assembly from the Whigs of Ontario county, and was elected by a very large majority. At this time, Mark H. Sibley was one of the representatives of the seventh Senatorial district, having been elected in the autumn of 1840. He, however, resigned his seat on the twenty-third day of May, 1841, a few days before the adjournment of the Legis-

lature. His business relations with Mr. Worden had terminated previously to this.

The latter, on taking his seat in the Legislature, was honored by the second position on the Committee of Ways and Means. During this session he made few speeches, but they were on important questions, and in support of certain bills which he had reported. Through his influence, several important laws were enacted, which pertained to the improvement of the legal and judicial system of the State. When the Legislature adjourned, he declared to a friend that he would never accept a seat in that body again. Returning home, he entirely discarded politics, until the autumn of 1844, when, at the earnest solicitation of his party, he again consented to represent them in the Legislature.

The session of 1845 was important and memorable. In it Mr. Worden occupied a very high and influential position. Although the Whigs were in the minority in both branches of the Legislature, their party having been prostrated in the State and Nation by the defeat of Mr. Clay, such was the masterly management of John Young, Mr. Worden, and others, that defeat was turned into victory and a way opened for the resuscitation of an apparently lifeless party.

Horatio Seymour was speaker of the Assembly, and the leader of the Democratic party in the State. The great question before the convention was the amendment of the Constitution. The Democracy in the State was then divided ; the great schism which eventually prostrated it, was then just developing itself. This was adroitly seized upon by the Whig leaders and turned to their permanent advantage.

No measure before the people was more popular than the proposed convention ; and some of the Democratic leaders assumed a position towards it that savored so much of hostility, that it greatly impaired the strength of their party.

As has been said in another part of this work, the debate which took place in the Assembly on this question, attracted attention from all parts of the State. Mr. Young advocated the proposed convention with great ability ; he was ably sustained by Mr. Worden and others. To the energy, talents, and unwearied labors of these persons, the Whig party was indebted for its subsequent victory, and the State for whatever advantages have flowed from the Constitution which was subsequently adopted.

The convention bill finally passed both branches of the Legislature and became a law.

The measure before this Legislature which was next in importance to the convention bill, was that of internal improvements. This question was at that period one of vast importance—one that called into requisition all the energy and ability of statesmen and legislators. It was seized upon by politicians, entered into partizan strife, and of course became divested of interest to all except those engaged in the scramble for office. The measures over which so many contests occurred, so many elections were lost and won, have long since been settled, and we survey them now with much the same interest with which travelers regard historic ground and battle-fields of other days.

In the debates which occurred on the internal improvements question, Mr. Worden exhibited the abilities of a statesman. Though he was accused of errors, and perhaps in many cases justly, yet like all prominent partizans, his political character will be varied by the lights and shades which political friends and enemies throw upon it ; like all political leaders, he had frailties and virtues.

In the fall of 1845, he was again elected to the Assembly. The session to which he was elected commenced January 3rd, 1846. It was not an important one, though many measures affecting the public interest were adopted. Mr. Worden occupied a responsi-

ble position, and was regarded as a leader of his party in the State.

The act providing for a convention having become a law, a general election for choice of delegates to it, took place in May, 1846, each county sending delegates to correspond with its representation in the Assembly.

The election resulted in the choice of some of the most distinguished and able men in the State, a large proportion of whom were lawyers.

Alvah Worden and Robert C. Nicholson were elected delegates from Ontario county. Among the many eminent men who occupied seats in that convention, few were more thoroughly qualified for their position than Mr. Worden. He had been one of the most effective members of the Legislature which passed the bill providing for it; his influence and exertions aiding materially in its passage.

The convention assembled at the capitol in the city of Albany, on the first day of June, 1846. It was organized by the election of John Tracy, of Chenango, as president.

The friends of Mr. Worden insisted upon presenting his name as a candidate for speaker. The vote which he received, declared the high esteem in which he was held by the delegates assembled. He received the largest number of votes cast for any one candidate, except for Mr. Tracy. From the opening of the convention until its final adjournment, a period of over three months, he labored industriously and unceasingly.

On the 13th of June, he introduced a resolution on the naturalization of citizens, supporting it in a speech of great power and force. At the conclusion of his remarks, it was, on motion of Mr. Chatfield, unanimously adopted, and became one of the provisions of the new Constitution.

On the 30th of June, in Committee of the Whole, Mr. Worden delivered his great speech on the powers

and duties of the executive. This question involved the limitation of the powers of the governor, the qualifications which rendered a person eligible for that high office, and the term for which he should be elected. It elicited a debate of much more than ordinary interest; the galleries, lobby, and every accessible place in the Assembly chamber were occupied by a crowd of interested and attentive listeners. The ablest members of the convention participated in it, among whom were Charles O'Connor, Ira Harris, Richard P. Marvin, John K. Porter and Alvah Worden.

It has been said that this debate exceeded in ability and eloquence any discussion which, previous to that time, had ever taken place in that chamber. It raised those who participated in it above the limits of local reputation, and entitled them to the rank of statesmen. Mr. Worden closed the debate; he made no effort at display; the State, its institutions, its policy, interests, and destiny, as connected with its executive, were the topics which claimed his attention, and he confined himself to those, with an intensity of thought, an earnestness of purpose, and a cogency of reasoning, which exhibited the statesman, patriot, and orator.

The same question occupied the attention of the convention on several occasions. On the 31st of July, it was again before the committee. The debate which took place on that occasion was closed by John K. Porter, who delivered a brilliant and effective speech.

This debate created considerable acrimony among those who participated in it, particularly between Mr. Worden and Mr. Porter; but the matter ended in a most amicable manner, it being one of those storms which often pass harmlessly over a deliberative body.

The attention of Mr. Worden was engrossed for a long time, in preparing a plan for a judiciary, and on the 6th of August, he submitted a report to the convention, which was marked for its clearness, expansiveness, and ability. It subsequently received the approbation of many of the leading members of the con-

vention; for a time it was believed that it would be adopted entire, and recommended to the people. After a long discussion, however, the judicial system which was in force until within a recent period, was adopted.

Mr. Worden justly regarded the judiciary question as one of most vital importance, and his labors upon it were unceasing. There was not a section, not even a sentence in the bill reported, which he did not examine with the closest scrutiny. His experience at the bar, his general acquaintance with the people and their relation to courts of justice, eminently qualified him for the place he occupied on the Judiciary Committee, which, owing to the ill health of the chairman, greatly increased Mr. Worden's labors.

Though the plan for a judiciary which was finally adopted was not in all respects what he desired, yet, as it was the best which, under the circumstances, could be obtained, he gave it his assent. Finally, the convention having completed its labors, Mr. Jones, on the 9th day of October, sent up to the chair the following resolution:

"*Resolved*, That the engrossed constitution be now signed by the members of the convention, as an attestation of their approval thereof, and that those members not now in attendance be at liberty to sign it at any time previous to the third day of November next."

Charles O'Connor immediately arose and protested against the resolution, and against the Constitution, particularly the judicial department, and that eminent jurist proceeded to give his reasons for his opposition in a brief but able speech, and his remarks found a ready response in the heart of the ablest and most experienced lawyers then at the bar.

He was followed by Mr. Van Schoonhoven, who, although unqualifiedly against the judiciary article in the convention, approved of the instrument as a whole, and therefore declared he should vote for it.

Mr. Worden addressed the convention. In the course of his remarks, he said :

“Mr. Chairman—I regard this Constitution, as a whole, as an improvement in the science of government, throwing, as it does, upon the people the responsible duty of keeping their own government under their own control, and of preserving and perpetuating their own rights and liberties. There are provisions in it that I should prefer to have changed ; but the principle to which I have alluded is what I desire to see carried out. I am, therefore, willing to leave this great experiment of a republican government in the hands of the people with the least possible trammels upon their free action ; and this is the true intendment and design of this instrument that we are now called upon to sign ere we part. Having framed it after much labor, in a spirit of compromise and concession, I trust, sir, we shall submit it to the people without attempting to influence their action for or against it, by pointing to this or that provision as objectionable, but that the whole instrument be left to their calm, deliberate judgment, for this judgment is the rock, the sure foundation of our republic.”

After remarks from several other members, the amended Constitution was agreed to by a vote of one hundred and four ayes, against six noes—eighteen members being absent—and the convention adjourned *sine die*.

It was the desire of Mr. Worden, on retiring from the labors of the convention, to resume the practice of his profession, but another field of arduous labor was soon to be opened for him. By the provisions of the amended Constitution, the Legislature was empowered to provide for the appointment of three persons to be styled “Commissioners of the Code.”

Accordingly, on the 8th of April, 1847, the Legislature passed an act appointing Reuben H. Walworth, Alvah Worden, and John A. Collier, such commissioners. Arphaxed Loomis, Nicholas Hill,

Jr., and David Graham, were, in the same act, appointed Commissioners on Practice and Pleading, whose duty it was, according to the provisions of the act, "to provide for the abolition of the present forms of actions and pleadings at common law, and for a uniform course of proceedings whether of legal or equitable cognizance."

Chancellor Walworth and Mr. Collier having declined to act on the Code Commission, Anthony L. Robertson, of New York, and Seth C. Hawley, then of Buffalo, were appointed in their places.

Mr. Worden accepted the position with great reluctance, but he entered upon the discharge of his duties with perseverance and diligence. His labors and embarrassments were enhanced by the frequent changes in the commission. But he continued to labor with all the determination of his nature, until his term of office expired.

By an act of the Legislature, passed April 10th, 1849, a new commission, consisting of John C. Spencer, Alvah Worden, and Seth C. Hawley, was created.

Mr. Spencer declined to act, leaving the labors of the commission to Mr. Worden and Mr. Hawley, who discharged their duties in a highly acceptable manner. At the expiration of this commission, Mr. Worden returned to the active duties of his profession, where he could test in a practical manner the workings of that legal machinery which he had aided in creating.

During his official career, his large legal business had been conducted mainly by H. O. Cheesebro, Esq., his son-in-law and law partner, an eminent and able lawyer, a gentleman possessing many estimable qualities, and a leading lawyer of the Ontario bar.

Mr. Worden retired from his duties as a commissioner of the Code, to enter upon one of the most extensive fields of legal labor in western New York,

where he continued actively and energetically occupied until his death, which occurred in 1856.

Among the heavy cases in which he was engaged immediately after returning to his practice, was that of *The Farmers' Loan and Trust Company v. Carroll*. This was one of the most important cases ever adjudicated in the new Supreme Court; it involved a large amount of property, and many intricate and interesting legal questions.

The defendant had executed a mortgage to the plaintiff which was a lien upon several hundred acres of the most fertile lands in Livingston county, as the security for the repayment of a very large sum of money.

It was alleged that there were certain usurious matters which entered into the loan, and made a part of the contract. This allegation formed the defense to the action brought by the plaintiff to foreclose the mortgage. In the course of the legal contest which followed, the case reached the general term of the Supreme Court for the seventh judicial district, where, in October, 1851, it was argued at the court house in Rochester.

Alvah Worden and John C. Spencer appeared for the defendant Carroll, and C. P. Kirkland, Esq., appeared for the other defendants in the action; William Curtis Noyes and Hiram Denio conducted the argument for the plaintiff. The importance of the case is sufficiently attested by the number and eminence of the counsel engaged.

The argument occupied two days, and attracted to the court room a large and interested audience. It was a scene seldom witnessed in the history of modern litigation. The vast importance of the case, and the intricacy of the question involved in it, drew out all the intellectual powers of the great lawyers who appeared there as contestants.

John C. Spencer contended that the mortgage was illegal within the restraining act. To the consideration of the question involved in this proposition, he brought

all the great powers of a mind disciplined and enlarged by years of experience. He was suffering at the time from a temporary illness which compelled him to stand while addressing the court, supported by a chair, and in the course of his argument he broke down two chairs. As the last one gave way, he facetiously remarked, that if his legal positions were as unsafe as his personal ones had thus far proved, his learned opponents could already congratulate themselves upon success.

Mr. Worden confined himself exclusively to the question of usury. He was at the time in perfect health, and his mind was never more vigorous and active. The question which he argued was peculiarly adapted to the organization of his mind ; he was at home amid all its intricacies ; he threaded its labyrinths with an ease and precision which exhibited his familiarity with them, and also his extraordinary reach of thought.

The argument of Mr. Kirkland fully sustained his relation to the case, proving him competent to assist his eminent associates.

The efforts of Mr. Noyes and Mr. Denio were admitted to be consummate legal arguments, both in regard to the skill with which they were conducted, the soundness of the principles laid down, and the happy application of precedent to the case before them.

On the whole, the Supreme Court on this occasion presented a scene of forensic interest, replete with profound argument and intellectual elaboration, which will compare with those enacted in Westminster Hall in the days of Burke's and Sheridan's unparalleled success at the English bar.

The opinion in the case was written by the late Judge Wells, and it sustained the view presented by the defendants.

An examination into the professional life of Alvah Worden, is, in many respects, useful as an example to

future lawyers ; while it exalts the character of the bar, it exhibits the result of energy, determination, and self-reliance, when applied to professional duties, and directed to the task of overcoming misfortune and rising above disappointment.

He was not a great politician, though in that sphere he was able. There was nothing of the demagogue about him, though he was skilled in the knowledge of the human heart and adroit in the management of popular prejudices and feelings. These qualities, added to a sound, discriminating mind, and to many other intellectual acquirements, always gave him weight and influence at the bar, in politics, as a codifier and law maker.

EXHIBIT 6

*Proceedings and Debates of the Constitutional Convention of the State of New
York Held in 1867 and 1868 (excerpt)*

PROCEEDINGS AND DEBATES
OF THE
CONSTITUTIONAL CONVENTION
OF THE
STATE OF NEW YORK,
HELD IN 1867 AND 1868,
IN THE
CITY OF ALBANY.

REPORTED BY EDWARD F. UNDERHILL,
OFFICIAL STENOGRAPHER.

VOLUME I.

FROM PAGE 1 TO 800, WITH INDEX.

ALBANY:
WEED, PARSONS AND COMPANY,
PRINTERS TO THE CONVENTION.
1868.

may be disallowed. The other advantage of the proposition I submit is this: That it is simpler in form, it embraces all the subjects on which the Legislature are to act; for you will find as in the report of the committee that they are also to pass laws depriving anybody who shall make any bet or wager, of the right of voting. As I have said I have an unusual advantage in presenting this proposition, because I know when it is carefully scrutinized it will meet the approbation of the majority of this committee, in preference to the other proposition, for where I use the phrase "promise or agree to give or receive directly or indirectly," I think it is a much better form, to cover the whole subject than that which is in the proposition of the amendment, "receive or expect to receive," because it must be out of an agreement directly or indirectly made that the base transaction grows of buying or selling votes. It covers both cases equally, of those who pay or offer to pay, and those who receive or offer to receive. This proposition, made by the Legislature of 1853, was canvassed with the greatest care, and there were more minds occupied upon it, and that took part in maturing it, of the legal profession, than might be supposed, on mere notice of the result of their deliberations. The proposition was introduced by the late Mr. Taber of Albany, who used all his ability in perfecting the language which should cover every possibility of fraud. Afterward it passed the Senate, and received all the votes of the gentlemen present in the Senate, with the exception of three. That is the reason, I think, that this proposition will be found better and more desirable by the gentleman than his own.

The question was then put on the motion of Mr. Conger, to reconsider, and it was declared lost.

Mr. FOLGER—I wish to offer an amendment which may perhaps be premature, but which will be necessary if the report of the Committee on the Organization of the Legislature, is adopted. It is to strike out that provision which requires a residence in the district from which the officer is to be elected, and also the provision requiring a four months' residence in the county. According to the report of the Committee on the Organization of the Legislature, that is the smallest district from which an officer is to be elected; and single assembly districts are by that to be abolished. I offer this amendment so as to make this article correspond with what appears to be the temper of the Convention in reference to that report.

The SECRETARY proceeded to read the amendment of Mr. Folger, as follows:

Strike out the words in section one of Mr. Dwight's amendment: "But such citizen shall have been for thirty days next preceding the election a resident of the district from which the officer is to be chosen for whom he offers his vote."

Mr. RATHBUN—I am opposed to that amendment for one reason, which I will state. If I understand the amendment, it removes entirely all necessity of a personal residence in the district for any time. Unless the district system is altered, persons may colonize a weak district from a strong one in one single day, so as to change a majority in the smaller district, and still leave the other to

be carried by the same majority. It opens the door for colonization, unless the report of the Committee on the Organization of the Legislature shall fix the location of districts by county lines. Unless that prevail, then we have the colonization system complete and perfect, from one district in the county to another, and in cities still worse.

Mr. BARNARD—I would suggest to the gentleman from Ontario [Mr. Folger] that there are other districts beside assembly districts, and although we may have the proposition of the Committee on the Organization of the Legislature, so as to have county lines represent the districts, yet when it comes to the cities—the city of Brooklyn for instance—we have an election for city officers, held on the same day as for State officers; we have an election for mayor in the city, who may be voted for, unless an amendment is made, by all the citizens of Kings County who may come in within a few days into the city of Brooklyn. Then we have aldermen to be elected, and we might have this colonization from one ward to another, unless the provision is left as it stood originally.

Mr. FULLER—I desire to offer an amendment as follows:

In section 1, line 2, after the word "citizen" insert the words, "of the United States."

As the amendment stands now, accepted by the gentleman from Ontario [Mr. Folger], these words are stricken out. The reason of the amendment, as stated at the time, was that a doubt had been raised as to whether a colored man was a citizen of the United States; and, if I understood it, it was in deference to this doubt that this amendment was made. The gentleman stated that it was held in the Dred Scott decision that a colored man was not a citizen of the United States, and also that Governor Marcy refused to give a passport to a colored man, upon the ground that he was not a citizen of the United States; and it was in deference to these decisions, as I understand it, that this amendment was moved to strike out the words "of the United States." I am not in favor of making any such concession—it is a virtual concession—in deference to those decisions, that a colored man is not a citizen of the United States; or in other words, it assumes that there may be a well founded doubt, as to whether a colored man is a citizen of the United States. I am unwilling to admit or concede, directly or indirectly that there is any such doubt. The Dred Scott decision never was an authority higher than an *obiter dictum*; such as it was it has already gone to the "tomb of the Capulets" never to be resuscitated; it is nothing to the credit of Governor Marcy, that he refused a passport to a colored man, on the ground that he was not a citizen of the United States; and if alive, he would not do it again. I am, Sir, in favor of extending the elective franchise to the colored man, but I am unwilling that while I extend it to him with one hand, I should be found taking back with the other a full recognition of his citizenship. I plant myself upon the ground that he is a citizen of the United States—there I propose to stand, and that ground I do not propose to yield. If you strike out these words for the reason

assigned, we shall have it alleged hereafter that this Convention has decided by its action that a colored man is not a full citizen of the United States, but only a sort of half citizen, such as was described by the gentleman from Rockland [Mr. Conger] the other evening. There is another reason why these words should be restored; the words "citizen of the United States" are well understood. This word "citizen" is a word of very large import; it is one which has not been judicially defined in the Constitution of this State, and if the amendment is left to stand, it may have to be judicially defined. The amendment of the gentleman from Onondaga [Mr. Andrews] accepted by the gentleman from Ontario [Mr. Folger] assumes that if we use the word "citizen" instead of "citizen of the United States," a colored man may vote, although not a full citizen of the United States. If that is so, then why may not another man vote who is not a full citizen of the United States. And where will this end? I think, sir, we had better preserve the language as it was in this respect, and I think the amendment is not called for. In the next place, the amendment of the gentleman from Onondaga [Mr. Andrews] is a concession I am unwilling to make, in deference to the authors of the Dred Scott decision or any other decision of that kind.

Mr. FOLGER — The gentleman founds his argument upon an error. The phrase "citizens of the United States" is not in the present Constitution, and this statement eliminates all there is in his position. Then, it seems to me, down falls his argument. If the gentleman will turn to the Constitution, he will see that the phrase "citizens of the United States" is not there at all, and never was, from 1777 down to the Constitution of 1821, and the Constitution of 1846, referring to the men of color, expressly speaks of them as "citizens of this State." And also it says: "And no man, unless he shall have been three years a citizen of this State." So the amendment of the gentleman from Onondaga [Mr. Andrews] was not introducing a new rule, but only restoring the words the fathers handed down to us, and by which I prefer to abide, rather than to launch upon the sea of uncertainty and require a judicial construction on any new phrase we may use. It is very bold and manly, without doubt, to despise the precedent of the Dred Scott decision, and the precedent fixed by Governor Marcy and the precedents fixed in the history of the country. It is very bold to say that there are no doubts; that we cast all doubts to the winds; that we are not to give way in our feeling for the negro and our desire to take care of him, to any such fanciful, unfounded doubt. But if there is a doubt, is it not the part of wise and prudent men to guard against it; and while pursuing the object we desire, to remove from our path all pitfalls into which we may perchance slide? That there is a doubt, the gentleman from Monroe [Mr. Fuller] cannot deny. There is an express decision upon the judicial records of the country, to the effect that the colored man is not a citizen of the United States. There is an express decision upon the records of the executive department of the United States, that the colored man is not a citizen of the United States. Then where

is the wisdom of running our heads full against this wall, however weak it may be, for although the wall may topple over, our scalps may chance to be abraded. I think we give up nothing when we adhere to the language of the Constitution of 1846, which had no such phrase as the gentleman from Monroe [Mr. Fuller] claims to restore, but which crept into the amendment of the gentleman from Cayuga [Mr. C. C. Dwight] because he perceived it was used so extensively in the report of the Standing Committee on the Right of Suffrage. If it be true—I do not say it is or is not—but it may by possibility be true, that the colored man is not a citizen of the United States. And then if we put that phrase into our Constitution and say that because he is a citizen of the United States, he shall be a voter here—while we have come together with that subject in our minds among others, and with the desire to give the colored citizens of this State the right to vote, we are using language which may defeat the exercise of that right. I say, it is the part of wisdom to eliminate all such doubts from our Constitution and plant ourselves on certainties, which we surely do plant ourselves upon when we adhere to the language which has been settled for twenty years.

The question was then put upon the amendment of Mr. Fuller, and it was declared to be lost.

Mr. VAN CAMPEN—I offer the following amendment to Mr. C. C. Dwight's amendment:

To strike out the word "four" and insert in lieu thereof "two," so that it shall read "two months' residence in the county" instead of "four months' residence in the county."

The object of moving this amendment is, that I desire not to throw any unnecessary obstacle in the way of those who have a clear State residence of one year. I cannot perceive that by retaining four months we gain anything, except a certain purpose to hinder what is termed immigration from one district to another. It seems to me that every facility ought to be afforded to the voters who have a clear State residence, and whose interests are clearly identified with the State. Therefore, I think all the purposes of preventing those frauds, which are sometimes perpetrated by moving from one section of the State to another, would be clearly provided for by two months' residence as well as four. I like generally the article as it reads, but I think this would be an improvement upon it.

Mr. KERNAN—I am in favor of that amendment. As I understand it, it reduces the county residence from four months to two months. I am in favor of it because I am opposed to anything of that nature. I do not see the function which a four months' residence in the county is to perform in this constitutional arrangement. The voter must have been in the State for a year, and it seems to be the settled purpose of the committee who reported this provision, that he must reside thirty days in the official district from which the officer is to be chosen for whom he offers his vote. Under this arrangement, I do not see any benefit of any such limitation of four months' residence in the county, as there must be a residence in the official district from which the officer is to be chosen.

EXHIBIT 7

Robert Allen Carter, *New York State Constitution: Sources of Legislative Intent* [2d Ed, 2001] (excerpt)

**NEW YORK STATE
CONSTITUTION:
Sources of Legislative Intent
Second Edition**

Robert Allan Carter

Fred B. Rothman Publications

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ARTICLE II. SUFFRAGE

Section 1. [Qualifications of voters]

Every¹ citizen² shall be entitled to vote¹ at every election³ for all officers² elected³ by the people² and upon all questions submitted to the vote of the people⁴ provided that such citizen is³ eighteen⁵ years of age² or over and shall have been a resident³ of this state¹, and of the county, city or village for³ thirty days⁵ next³ preceding an election.¹

¹ Constitutional Convention of 1777. No source of legislative intent found.

² Constitutional Convention of 1821. See the report of the Committee on the Right of Suffrage, transmitted by Mr. N. Sanford in *Report of the Proceedings and Debates*, pp. 178–80.

³ Approved by the people in 1966 (1965 Senate Intro. 4537, Print 5519; 1966 Senate Intro. 2061, Print 2122). Very little evidence of legislative intent. Senator Anderson's introductory memorandum in the 1966 *New York State Legislative Annual*, pp. 130–31, only describes the bill, with no justification or discussion. In its 1966 report, the Joint Legislative Committee to Make a Study of the Election Law and Related Statutes, Leg. Doc. No. 30, urged the bill's passage because of the "great advancement in communications and the rapidly shifting population" (p. 13).

⁴ Approved by the people in 1874. Proposed by the Constitutional Convention of 1872. See the Commission's *Amendments Proposed to the Constitution of the State of New York*, Sen. Doc. 1873 No. 70, p. 26. Based on a proposal of the 1867 Constitutional Convention, whose proposed constitution was rejected by the people. See the explanation of its sponsor, Sanford E. Church, and brief debate, on pp. 548–49 of Vol. I of the Convention's *Report of the Proceedings and Debates*.

⁵ Approved by the people in 1995 (1994 Assem. 12221; 1995 Assem. 4958). See introductory memorandum of Assemblyman Tokasz in the 1995 *New York State Legislative Annual*, p. 597.

Section 2. [Absentee voting]

The legislature may, by general law, provide a manner in which, and the time and place at which, qualified voters who, on the occurrence of any election, may be absent from the¹ county of their residence or, if residents of the city of New York, from the city,² and qualified voters who, on the occurrence of any election, may be unable to appear personally at the polling

place because of illness or physical disability,³ may vote and for the return and canvass of their votes.¹

¹ Approved by the people in 1919 (1918 Assem. Intro. 213, Print 1073; 1919 Assem. Intro. 159, Print 160). No statement of legislative intent found, but see the following *New York Times* articles: "For Absentee Voting," Oct. 5, 1919, sec. IV, p. 7; "Voters to Pass on Four Amendments," Oct. 14, 1919, p. 19; and "Four Amendments," Oct. 15, 1919, p. 16. Note that minor changes were made to the 1919 amendment by subsequent amendments. The word "may" was moved from "who . . . , on the occurrence" to its present position by the 1955 amendment below. "General" was deleted from the phrase "any election" by an amendment approved by the people in 1947 (1946 Senate Intro. 172, Print 172; 1947 Assem. Intro. 496, Print 498). The rest of the 1947 amendment has been superseded by subsequent amendments. The 1963 amendment below deleted "unavoidably" before "absent from the."

² Approved by the people in 1963 (Assem. Intro. 1221, Print 4918; 1963 Senate Intro. 582, Print 582). The introductory memorandum of Senator Mitchell appears in the 1963 *New York State Legislative Annual*, p. 216. See also the recommendation of Governor Rockefeller (*Public Papers of Nelson A. Rockefeller*, 1963, p. 38), and the 1962 report of the Joint Legislative Committee to Make a Study of the Election Law and Related Statutes, Leg. Doc. 1962 No. 25, pp. 37–38.

³ Approved by the people in 1955 (1954 Senate Intro. 2627, Print 2793; 1955 Senate Intro. 120, Print 120). See majority and minority reports of the Joint Legislative Committee to Make a Study of the Election Law and Related Statutes, Leg. Doc. 1954 No. 43, p. 18 (also in the *New York State Legislative Annual*, 1955, p. 189).

Section 3. [Persons excluded from the right of suffrage]

No person who shall receive,¹ accept,² or offer to receive, or pay, offer or promise to pay, contribute, offer, or promise to contribute to another, to be paid or used, any money or other valuable thing as a compensation or reward for the giving or withholding a vote at an election, or who shall make any promise to influence the giving or withholding any such vote, or¹ who shall make or become directly or indirectly interested in any bet or wager depending upon the result of any election,³ shall vote at such election; and upon challenge for such cause, the person so challenged, before the officers authorized for that purpose shall receive his vote, shall swear or affirm before such officers that he has not received or offered, does not expect to receive, has not paid, offered or promised to pay, contributed, offered or promised to contribute to another, to be paid or used, any money or other valuable thing as a compensation or reward for the giving or withholding a vote at such election, and has not made any promise to influence the giving or withholding of any such vote, nor made or become directly or indirectly interested in any bet or wager depending upon the result of such election. The legislature

EXHIBIT 8

Van Gosse, *The First Reconstruction: Black Politics in America from the Revolution to the Civil War* [2021] (excerpt)

The First **RECONSTRUCTION**

Black Politics in America
from the Revolution to the Civil War

Van Gosse

The First Reconstruction

*Black Politics in America from the
Revolution to the Civil War*

VAN GOSSE

The University of North Carolina Press
Chapel Hill

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Jacket illustration: Text excerpt, “Lincoln Is Sure to Be Defeated,” *The New York Herald*, November 6, 1860. Courtesy of Chronicling America, a joint project by the
National Endowment for the Humanities and the Library of Congress.

*This book is dedicated to the black people who have sought since the
Revolution to save American democracy—"the land that never has been yet."*

Their tenacity astounds.

And to my beloved warrior, Deborah.

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Chapter 10

Consult the Genius of Expediency

Approaching Power, 1847–1860

It is the colored aristocracy of this metropolis, dealers in shell-fish and whitewash . . . that has saved the whig party. . . . But for this vote of the highly respectable colored citizens of the city and State, the whigs would have been routed. . . . Downing and the colored voters have decided the day, and Downing and the colored voters ought to celebrate the triumph. . . . Oysters and whitewash hold the balance of power, and . . . the colored aristocracy—whigs to the backbone—have decided the election. Downing is master of the field.

—James Gordon Bennett in the *New York Herald*, November 10, 1849

Men and Brethren:—An unexpected blow has been levelled against us. WASHINGTON HUNT, Governor of our State, in his recent annual message, has descended from the high position he occupies. . . . We view his expressions and recommendations as an outrage to our feelings, an insult to the growing liberal sentiment of the people of this state, to which sentiment sustained by the votes of colored citizens, he owes his election.

—“To the Colored Citizens of the State of New York,” January 1852

We regard the Republican party, all things considered, as more likely than any other to effect this desirable end [equal suffrage], and advise the eleven thousand colored voters of this State to concentrate their strength upon the Republican ticket. . . . We do not for a moment endorse all the tenets of that party; we are Radical Abolitionists, and shall ever remain so; but we regard [Gerrit Smith’s] nomination [for Governor] . . . as calculated to give aid and comfort to the enemy, by electing the Democratic candidate.

—William J. Watkins, addressing the State Suffrage Association,
September 1858

From late 1846 to November 1860, the Empire State’s black politics tracked the old parties’ breakdown, leading to the consolidation of New York’s Republicans in 1855. Alongside Free Democrats, Know-Nothings, most Whigs, and some Barnburner Democrats, black men coalesced into this new party, which welcomed all while remaining fundamentally Whiggish, acknowledging William Seward’s preeminence and under Thurlow Weed’s practical direction.

In 1847, New York's Whigs were ascendant. They had returned to power in 1846, and the Wilmot Proviso, while disastrous for national party cohesion, benefited northern antislavery Whigs like the Sewardites, who enjoyed how it discomfited Democrats. From 1847 to 1850, the Whigs won nearly all state-wide offices. Even the new Free Soil Party's 1848 nomination of Martin Van Buren on a platform opposing slavery's extension aided Weed's men, since it forced the Democrat Lewis Cass into a humiliating third-place finish in the Empire State. The Whig Zachary Taylor carried the state with 48 percent, helped by Weed declaring, "We have assurances . . . that Gen. TAYLOR, though a Southern man, is of the school of WASHINGTON, JEFFERSON, MADISON and MARSHALL, regarding Slavery as an evil, and opposed to its extension." They also took thirty-two of thirty-four congressional seats and large majorities in the legislature, sending Seward to the Senate in 1849.¹

Soon discord visited the Whigs too, however. Seward's rival, Millard Fillmore, unexpectedly assumed the presidency in July 1850 after Taylor's death, and backed the Compromise of 1850, which would ultimately wreck his party. In retrospect, Whig unity was crippled the moment Seward gave his famous "Higher Law" speech in the Senate on March 11, 1850, turning New York's Whigs into the state's "the most vehement anti-extension party." From then on, the question became, how would the Sewardites engineer a realignment while maintaining themselves as a potential majority party? After 1850, a profusion of tickets filled ballots: three different Democratic factions (Barnburners, who only briefly joined the Free Soilers; "Soft Shells" willing to fuse with them; "Hard Shells" or Hunkers, who were not), Whigs, Free Democrats, Liberty men, soon slates of Know-Nothings and temperance men. The Know-Nothing American Party's rise in 1852–54, powered mainly by Whigs seeking to avoid the slavery question, merely delayed realignment. Throughout, Weed steered his apparatus through a long effort "to bring conscience politics into the traditional party system." Finally, in 1855, a half-new, half-old party came together around Seward's charisma and Weed's acumen, and open to black men.²

Most narratives of northern black life after 1850 focus on the desperation prompted by the Fugitive Slave Act, which abolished habeas corpus for free persons of color. However, while some upstate communities saw substantial emigration to Canada, black leaders evinced little despair: anger, disgust, uncertainty even, but no turning back. Their upward trajectory had two phases. The years 1847–54 constituted the crossover point when black Yorkers proved their ability to maneuver between the parties, largely because they finally gained sufficient votes with which to bargain. They responded vigorously to the Free Soil movement in 1848, and in 1849 delivered a bloc vote in New York City which both Whigs and Democrats admitted gave three out of

four statewide and most city offices to the Whigs, allowing them to hold onto the state Senate, and tie the Assembly. The city's black leaders repeated this feat in 1850 by helping elect the Whig Washington Hunt governor by the narrowest margin in state history. When Hunt betrayed them by publicly supporting colonization, black leaders blasted him with such force that he recanted. These moves were initiated by the city's Committee of Thirteen, seasoned politicians allied with the upstate cadre based in Albany and Troy; both groups had deep ties to the Seward Whigs. From an electoral perspective, this leadership displayed great strategic flexibility. New York's chaotic partisanship gave them three options: the antislavery wing of the Whigs, elevated by Seward's prestige; the Free Soil (later Free Democratic) Party, originally tainted by the Barnburner Democrats' racism but congenial to black men after their departure; finally, Gerrit Smith's Liberty Party, which began nominating black men for local, state, and even national office.³

Before turning to this narrative, however, we will consider three distinctive features of antebellum New York's black politics: a leadership cohort ranging from political insiders to flamboyant agitators; a sophisticated patronage apparatus connecting them to white elites; a steadily growing base of voters.

Douglass and Other "Representative Men"

One cannot speak of black politics in New York after 1846 without addressing Frederick Douglass's outsized role. His 1847 move to Rochester shifted the axis of black leadership to the country's interior, even while he remained an outsider playing to national and international audiences. That Douglass chose Rochester was telling. He went into the original command post of "political abolitionism" to stake a claim, even if it took him several years to dispense with Garrisonian antielectoralism. Western New York was the home ground for antislavery Whiggery and the Liberty Party's remaining stronghold; thanks to the great Canal, the Burned-Over District was also the epicenter of the North's radical bourgeoisie (as outlined in chapter 9), where Douglass could expect more support than anywhere outside Old England.

The year 1847 was propitious for a new man. For a decade, black Yorkers had fought to regain their suffrage rights, and the November 1846 defeat exceeded their worst expectations. Douglass entered ground cleared for him by that loss. His outsize presence and transatlantic connections reenergized the state's political milieu. He restored to New York its national voice via the *North Star*, later *Frederick Douglass' Paper*, which became a principal medium of communication for abolitionists of all varieties. And for the next thirteen years, Douglass's perpetual ambivalence, as a halfway-Garrisonian until 1851,

and after that a wanderer between the parties (Free Democratic, Liberty, Radical Abolitionist, Republican), made his paper a clearinghouse for black men's intramural debates.

Institutional and factional politics aside, the other factor is that this particular man was a star, in the modern sense. Rarely has any American equaled his international repute and celebrity-drawing power. Democrats gnashed their teeth at "that insolent and pestilent 'colored' demagogue," yet nothing could be done to him; he was safe in abolitionism's base and utterly unafraid, having given and received violence of all kinds. Strange things happened as a consequence of fame. Not only was "Fred. Douglass" evoked repeatedly as an avatar or demon in Congress, the uses made of his name sometimes descended to farce. How else to interpret Rochester's anti-Seward "Silver Gray" Whigs voting to nominate their neighbor Douglass for the State Assembly in 1851, only to receive his lordly dismissal?⁴

Douglass never sought to dominate New York State's black politics. Its political class was too established for such a coup. Consider those already sketched here: Thomas and George Downing, Samuel E. Cornish, James McCune Smith, Henry Highland Garnet, Stephen Myers, and finally, Douglass's great rival, the Reverend Samuel Ringgold Ward, the first person of African descent nominated for national office. The similarities and differences between Douglass, Ward, and Myers capture the range of the Empire State's leadership. Not only were all three editors, adepts at the patronage game required to maintain a newspaper, they were all partisans, albeit with very different approaches. The sophisticated polemics between Ward and Douglass over electoral politics in summer 1848, versus Myers's focus on doing rather than speaking, illuminates this set of players.⁵

As earlier with Garnet, the Liberty Party projected its biracialism via Ward. Born enslaved on the Eastern Shore in 1817, he attended Manhattan's African Free School, and pursued the ministry. Also like Garnet, he was a legendary orator; Seward reportedly declared "he never heard true eloquence until he heard Samuel R. Ward speak." In the mid-1840s, he achieved repute as a Liberty Party "big gun" who took a hard line against accommodation with Whigs or Free Soilers. At the August 1848 convention in Buffalo that founded the Free Soil Party, he astonished the vast crowd, according to Douglass.⁶

The focus here, however, is Ward's disagreement with Douglass, who, in 1848 and later, opted for what was then called "expediency." Ward's long, erudite "Address to the Four Thousand Colored Voters of the State of New York" in the September 1, 1848 *North Star* proposed a strategy of electoral purity, to demonstrate power by again spoiling, as the Liberty Party had done in 1844. He insisted they could "hold the balance of political power in the Em-

pire State,” since only “five thousand votes” had separated Polk and Clay. Ward’s main target was “the artful and designing demagogues” who urged black men to vote for Van Buren, despite the Free Soilers’ platform omitting any support for their “Equal and Inalienable Rights,” and the state Free Soil Party’s nominating well-known Democratic Negrophobes. Like Gerrit Smith, Ward damned black voters for “their criminal readiness to vote . . . according to the dictation . . . of those who are our most ruthless oppressors.” The 1846 “rejection of the Equal Suffrage clause in the present Constitution” came naturally because “colored men” had shown they “either did not care about political equality, or if they possessed it, they would barter it away for the smallest price.”⁷

In the same issue, Douglass demolished Ward with short, sharp explanations for why black men should back the Free Soilers. Certainly, the Buffalo Free Soil platform “does not include the Equal and Inalienable Rights of all men,” but “the times create their own watch-words; and the watch-word of one generation may not always be appropriate to another. We would as willingly fight the battle of liberty and equality under the banner of ‘Free Soil and Free Men,’ as that of the Declaration of American Independence.” Fighting over phrases was a distraction, what Douglass cared about was “what that party proposed to do, rather than the doctrines they proposed to teach,” and if the party’s program “involved no departure from moral principle . . . we should not hesitate to give our aid and vote to such a party.” Douglass then hit Ward precisely on his illogic: “The address condemns the ‘Free Soil Party,’ because some of its leading men—such as Senator [John A.] Dix—entertain wrong views and prejudices against the colored people. . . . The views of Senator Dix, if we understand them, are quite similar to those of Senator Morris, of Ohio, who was Mr. Ward’s [the Liberty Party’s] candidate for the Vice-Presidency up to 1844.” Douglass proposed a practical approach to such whites, asking, “Should we refuse to co-operate with them in securing a great good, because they may possess these prejudices? Certainly not. One of the most successful modes of removing prejudice, is to act with such men just so far as we can without a compromise of fundamental truths.” He proved his point by painting a vivid picture of Ward’s personal triumph at Buffalo: “The presence of such a man as Mr. Ward as a delegate . . . was one of the most powerful blows ever dealt upon the thick skull of American prejudice against colored persons. Thousands had an opportunity afforded them on that occasion of learning, for the first time in their lives, something of the manly energy of the black man’s mind. We saw thousands listening to his eloquent words with astonishment, mingled with admiration, and all probably went home with a higher and more truthful estimate of our race than they ever entertained before.”

Douglass's command of logic suggests why white men avoided facing him in debate. He easily disposed of Ward's "last objection . . . against voting for the Free Soil nominees," which was "the action of the Barnburner Democrats of this State with respect to the Right of Suffrage" in 1846. One can imagine Douglass's Cheshire grin: "To give this argument any force, it must be shown that the Free Soil party stand just now on this question where the Barnburners then stood, otherwise the logic is just about as good as this: Gerrit Smith, in 1830, was in favor of sending black men out of this country to Africa; therefore, black men cannot vote for Gerrit Smith, in 1848, without an abandonment of self-respect."

Finally, he hinted Ward was an unwitting dupe: "We know him too well to suspect him of any desire to play into the hands of the Cass and Taylor parties; but we know just as well that such will be the inevitable and almost only effect of his position. Indeed, such has already been the effect," quoting Weed's *Albany Journal* on Ward's return to his base in Cortlandville, where he declared "his hostility to the nomination of Martin Van Buren, and his determination to take the stump, and advise his abolition friends not to give the Ex-President their votes. . . . The meeting had a good effect. A few Whigs who had remained undecided whether to support General Taylor, came away from the meeting fully satisfied" that Van Buren had no claim "to the support of Northern men on the score of his anti-slavery opinions!" and they could "now give 'Old Zack' their hearty support." Then came Douglass's own disclaimer, absolving himself: "We shall vote for neither of the candidates. With our views of the pro-slavery character of the American Constitution . . . we could as soon run our hands into a fiery furnace, as into the American ballot-box, if thereby a man was to be elected who would swear to support that accursed bond of Union." Of course, others would ask, "If these be your views, are you not inconsistent in advising men to vote for Mr. Van Buren? We may be, but we think not. . . . We say to the multitude who are rushing to the ballot-box, see to it that you do not add to the sin of voting at all, the great sin of slave-rule, slavery-extension, and the perpetuity of slavery in the District of Columbia." Van Buren owed the former slave some thanks, since just as Samuel Cornish had damned Clay in 1838, ten years later, another black editor now gave a seal of approval.

Rites of Patronage

The second feature of this period underscores these leaders' partisan connections. Patronage is a highly variable concept, premodern in its origins. Some patronage of black people took place entirely between whites, as in Weed writing Horace Greeley in 1846, "If you don't know Frederick Douglass you

Pennington, would not tolerate such an assault. They took the company, the conductor, and the driver to court, and in spring 1855 won major damages, \$225 plus costs. The company, fearing further lawsuits, desegregated its lines, and by the Civil War the other Manhattan lines had followed suit.⁴²

Jennings is sometimes cast as Rosa Parks's forerunner, but that misses the subtext of what she said to the conductor, what New York's Whig newspapers said about her, and what black people around the nation heard. The key dialogue, savored by all, was Jennings putting the conductor in his place. She told him he was "a good for nothing impudent fellow," demanding to know where he was from, to which he replied, "I was born in Ireland." Her response suggested confidence in her class standing, "that he was none the worse or better for that, provided he behaved himself and did not insult genteel persons." This self-assurance was not misplaced, since she won the case outright, as an educated, Christian gentlewoman regardless of complexion. Greeley's *Tribune* commented that the decision confirmed the rights of "respectable colored people" versus "German or Irish women, with a quarter of mutton or a load of codfish," a pointed sally given the ubiquitous slander that black people smelled so horribly no white person could endure them. The case reverberated nationally, as Pennington and McCune Smith founded a Legal Rights Association and published a "NOTICE" in *Frederick Douglass' Paper*, announcing to black Americans "1. That all our public carrier-conveyances are now open to them on equal terms. 2. No policeman will now, as formerly, assist in assaulting you. 3. If any driver or conductor molests you, by laying the weight of his finger upon your person, have him arrested, or call upon Dr. Smith . . . Mr. T. L. Jennings . . . or myself . . . and we will enter your complaint at the Mayor's office. 4. You can take the conveyances at any of the Ferries or stopping places. Ask no questions, but get in and have your five cents ready to pay. Don't let them frighten you with words; the law is right, and so is the public sentiment." Pennington subsequently sent men to court arrest on other lines and bring suit, generating an angry denunciation by the Sixth Avenue line's secretary to Mayor Fernando Wood.⁴³

Republican Convergence, 1855–1860

Beginning in early 1855, most of the Empire State's antislavery forces converged in the new Republican organization. The long-held hope for a "Northern party" capable of winning power was realized, and with it, full participation by the state's black men. Although equal suffrage was not achieved until the Fifteenth Amendment's passage in 1870, the years leading to Lincoln's victory document the maturation of New York's black politics, a realization of the political capital invested since 1837.

Across the North, the Republican Party emerged from the “Anti-Nebraska” coalitions formed for the 1854 elections. Each state party differed in the relative influence of Whigs, Know-Nothings, Free Democrats, and old Liberty men, and how many Democrats broke ranks to join their historic enemies. The various groupings calling themselves “Republican” unified at a June 1856 convention in Philadelphia to nominate a ticket of California’s senator John C. Frémont and New Jersey’s senator William Dayton. All of these organizations looked to New York because of its outsize weight in national politics, and Seward’s prominence in the Senate. Since entering that chamber in 1850, he had become a principal figure defining the debate between American slavery and American freedom, while remaining a Whig powerbroker. In New York, the new party’s coalescing was relatively simple: it was essentially Whig, as outside of Manhattan and Brooklyn “their entire party apparatus had moved into it virtually unchanged,” including nearly all Whig papers and officeholders. Shorn of conservatives like Fillmore and Washington Hunt, joined by Free Democrats, ex-Liberty men, and some Barnburners, this was the party that entered the fray against slavery—and sometimes for black political rights.⁴⁴

Phyllis Field’s 1982 *The Politics of Race in New York* is still the definitive account of the black suffrage campaign’s interaction with party politics, although recent attention has focused on Gerrit Smith’s Radical Abolitionist Party (RAP), also founded in 1855, with some significant black participation. The RAP was the Liberty Party under a new name, and a useful goad to the Republicans, but focusing on this small group misses a more profound development: the public and private alliance between the state’s black political class and the Republican machine commanded by Thurlow Weed, a relationship much deeper than black participation in the Whig, Liberty, and Free Soil Parties. As an openly antislavery party able to win state elections, the Republicans were genuinely new, and in power their legislators repeatedly voted as a bloc in favor of equal rights. In response, New York’s black Republicans generated a statewide effort, the New York State Suffrage Association, “designed as a Negro political party for the state,” with Douglass as its formal head, and the team of Stephen Myers and William J. Watkins as its operational center, with Watkins privately employed by Weed to gin up white abolitionists and black men to cast Republican ballots.⁴⁵

The Republicans’ ideological and geographic bases outline how 1855–60 differed from what came before. From 1847 to 1854, the Whigs, even with overwhelming legislative majorities, ignored black suffrage petitions. The 1846 referendum had exposed the absence of an internal consensus for black suffrage, and even Whigs like Weed, who claimed their devotion to “Eman-cipation,” saw no point in losing again. Following the Whigs’ dissolution, a

new consensus emerged. Opposition to the Slave Power's octopus-like control over national politics made black men's rights a litmus test for the ideals of northern republicanism. Closer to home, anxiety over corruption of the body politic by foreigners pervaded political discourse via the rise of the Know-Nothings. Incoherent on other issues, these ex-Whigs proposed barring foreigners from office, a voter registry to curtail illegal voting by aliens, literacy tests, and greatly increasing the period of naturalization. Within this charged frame, Field explains, black suffrage stood for the first-class citizenship reserved to native-born Protestants, a way to defy the South while repudiating Tammany Hall Democrats.⁴⁶

What did this new consensus mean in practice? Legislation to eliminate the constitution's \$250 freehold requirement was introduced in the Senate and Assembly every year from 1855 to 1860, and passed three times by large majorities in both. If the amending process had not been "extremely difficult, requiring approval by a majority of elected members of both houses of the legislature, publication of the proposed change three months before the next general election of state senators (chosen biennially), the consent of a majority of the legislators chosen in *that* election, and finally ratification by at least half of the eligible electorate," it could easily have been legislated, since the Republican caucus in Albany, elected mainly from central and western New York, was well to the left of the party's electorate. Downstate Republicans, whom the party needed to win statewide, were unconvinced by appeals to republican principle. They liked black men as fellow voters no more in 1860 than they had in 1846. This tension translated into a bewildering inconstancy, since Republican leaders could not afford to alienate either their abolitionist or conservative wings.⁴⁷

The Beachhead, 1855–1856

The impetus for a renewed suffrage campaign was the collapse of stable two-party competition after 1853; in Field's summary, "Until 1860 fragmentation of the electorate remained the rule." Had the Democrats remained united, they would have kept winning, as in 1852–53, but their internal divisions were too deep, and for several years they ran competing tickets as "Hards" and "Softs." At the peak of disorder, the 1855 Assembly included five party groupings—sixty-seven Whigs, elected in that party's last gasp; fourteen Hard Democrats and an equal number of Softs, plus four Democrats not explicitly aligned with either faction; twenty-one Americans (Know-Nothings); and seven Free Democrats.⁴⁸

It was this Assembly, full of soon-to-be Republicans elected as Whigs, that first passed suffrage. At its January 1855 opening, a petition arrived from black

executive. . . . The private secretary, separating the resolutions from the bills . . . by inadvertence, laid the former aside,” where it had “laid unobserved ever since.” Pinning blame on a lowly “deputy clerk of the senate,” it conceded only that “republican officials” were guilty of “an unconscious neglect of duty.”⁶²

These claims excited glee from Democrats inside and outside the state, who accused Governor King of “gross neglect of a plain official duty” with “few parallels in the history of the State. Whether it was culpable and unpardonable carelessness . . . or a dislike to be brought to the level of the negro, will be long the subject of some doubt.” The *New York Herald*, leading Democratic paper in the nation, exhibited its schadenfreude at the “Seward-Weed clique” realizing that the “measures of the last Legislature in behalf of negro suffrage . . . were going a little too far for the good of the party,” so they were “coolly suffocated. . . . The Governor, or . . . Weed and his staff” decided “it is not judicious to give niggers an equal right to vote with white men.” A Buffalo paper noted Republicans would now “escape the odium of submitting to the people what could not fail to be a most unpopular measure,” and it might be just “a trick, as many people shrewdly suspect.”⁶³

Since Myers had stopped publishing his weekly, *Frederick Douglass' Paper* was the state's only black editorial voice. At first, Douglass expressed a mix of disappointment, disbelief, and uncertainty as to Republicans' responsibility, calling the “blunder” evidence of “disgraceful inactivity” and stipulating that “the passage of the Amendment last winter amounts to nothing. . . . So important a measure ought to have been attended to, and it would not have been suffered to die for want of the necessary preliminaries, if its pseudo friends had been sincere.” Even if a “lamentable and culpable defection,” if Republicans “in the ascendant, shall fail to expunge the Property Qualification clause . . . then they, amid all their professions of sympathy for the slave, are as ‘a sounding brass, and a tinkling cymbal,’” hypocrites just as Democrats alleged: “We have spoken thus plainly, because plainness of speech is demanded by the exigency in which the negligence or something worse of our Republican Governor or Secretary of State, and the cool silence of our Republican journals have involved us.” Two weeks later, Douglass published the *Evening Journal's* official explanation, commenting that “some things were *not* forgotten by the parties alluded to in the statement, of minor importance in comparison with this. If the *Journal* and other Republican organs wish to make amends for their acknowledged neglect, let them break the significant silence they have so long maintained on this question. . . . This, we hope, they will *not* forget. This, they must not suffer to be ‘stuffed away in the pigeon holes’ of forgetfulness,” a sarcastic reference to the secretary's desk.⁶⁴

In 1858, black Yorkers occupied a momentarily privileged position, which internal disagreement only strengthened; no one could take for granted their “eleven thousand votes.” Morgan’s final margin over the Democrat Amasa Parker was 27,440 out of 555,073 votes, with the American Party candidate gaining 61,137, and large-scale defections by men of color would have placed the Republican at risk. Instead, Smith received a mere 5,470 votes, exactly 1 percent. Few abolitionists went for his forlorn hope after Seward’s “Irrepressible Conflict” speech, which Smith conceded “did more than all things else to damage my prospects. It passed for an Abolition speech.” The year 1858 was the last time abolitionists attempted to spoil an election, which had never appealed to most black voters, who preferred a party that could exercise actual power.⁷⁴

Adding insult, one Louisiana editor again got into the gutter about “Ger-rit,” the “chief among the niggers’ friends,” who had “given away scores of thousands of acres to the colored images of their Maker, dreaming fondly that his black brethren would settle upon the estates, thrive and raise up little darkies to worship Smith and vote the straight Abolition ticket,” followed by minstrelish images of “the legs of some big runaway nigger thrust under his mahogany,” and the “lazy nigger preachers [who] make a hotel of his mansion at Peterboro.” Smith’s betrayal by New York’s black electors, the “nigger landholders” who “sold his bounty and spent the money in brass rings, red waistcoats, onions and whisky,” was satisfying; the “sable ingrates refuse *en masse* to go for him! . . . Not a solitary nigger ballot can he get!” This southerner got one thing right: “the New-York darkies don’t want to ‘fro away’ their votes.” Like the Know-Nothings who had jumped to the Republicans, they too wished to “unite all the elements of opposition to the ‘slave-driving Democracy.’”⁷⁵

The Battle Joined, 1859–1860

The two years prior to Lincoln’s election were chaotic but triumphal for New York’s Republicans, black and white. The state was deeply identified with antislavery through Douglass and Seward, the South’s twin *bêtes noires*, and both were linked to the October 1859 raid on Harpers Ferry. Papers found with John Brown exposed Douglass as his collaborator and he barely escaped southern justice. When “federal officers” arrived in Rochester, Lieutenant Governor Robert Campbell visited Douglass to warn him that Governor Morgan was obliged to honor an extradition request from Virginia. Douglass crossed the Canadian border forthwith, exciting the rage of Brown’s executioner, Virginia governor Henry Wise. Seward, regarded by southerners as the raid’s intellectual author, was attacked in lethal terms, with the *Richmond*

New York State, a good portion of it is to be sold at auction”; also *Boston Daily Bee*, March 3, 1846, “Gerrit Smith of Peterboro’, offers to sell his immense landed property at auction, in the months of June, July, and August next. The lands lie in 45 of the 59 counties of New York, and comprise about 750,000 acres. The auction will be held at fifteen different places, on as many different days”; *Massachusetts Ploughman and New England Journal of Agriculture* (Boston), September 12, 1846.

101. See Gerrit Smith to Charles B. Ray, Theodore Wright, and McCune Smith, September 10, 1846, in GSP, box 41, indicating he hoped news of the project “might have a somewhat good effect on the Convention now sitting in Albany”; an October 3, 1846 letter to these men, also in GSP, box 41, approved “the businesslike way in which you distribute the deeds,” noting he had sent 576 to New York City with 288 remaining.

Chapter Ten

1. *AEJ*, August 10, 1848; also Corey M. Brooks, *Liberty Power: Antislavery Third Parties and the Transformation of American Politics* (Chicago: University of Chicago Press, 2016), 173. In 1847, Hamilton Fish won a special election for lieutenant governor with 52.63 percent over a Democrat and the longtime Liberty candidate, Charles O. Shepard, who received a surprising 4.16 percent; in 1848, Fish won the governorship with 47.56 percent against the Free Soiler John A. Dix (26.7 percent), and a Hunker (25.39 percent); in 1850, Washington Hunt defeated the Democrat Horatio Seymour with 49.64 percent to Seymour’s 49.57 percent (the Liberty candidate received 3,416 or 0.079 percent).

2. Frederick J. Blue, *The Free Soilers: Third Party Politics, 1848–54* (Urbana: University of Illinois, 1973), 180; Hendrik Booraem V, *The Formation of the Republican Party in New York: Politics and Conscience in the Antebellum North* (New York: New York University Press, 1983), 77.

3. Leslie Alexander is hardly alone in asserting that “the Fugitive Slave Act of 1850 was just the first in a series of devastating setbacks that plagued Black New Yorkers during the decade before the Civil War,” but this analysis is tenable only if one steps around the electoral arena; see Leslie M. Alexander, *African or American? Black Identity and Political Activism in New York City, 1784–1861* (Urbana: University of Illinois Press, 2008), 122.

4. *IC*, February 15, 1851, quoting *Albany Argus*; *FDP*, October 30, 1851, reprinting *Buffalo Commercial Advertiser*, including Douglass’s letter asserting he had “always held . . . opinions diametrically opposed to those held by that part of the Whig party which you are supposed to represent. . . . I do not believe that the slavery question is settled, and settled forever. I do not believe that slave-catching is either a christian duty, or an innocent amusement. I do not believe that he who breaks the arm of the kidnaper, or wrests the trembling captive from his grasp is ‘a traitor.’” He was, therefore, “wholly unfit to receive the suffrages of gentlemen holding the opinion and favoring the policy of that wing of the Whig party, denominated ‘the Silver Grays.’”

5. This period marked Garnet’s temporary eclipse, feuding publicly with Douglass and ready to depart for warmer climes. Myers continued publishing his renamed *North-ern Star and Colored Farmer*, but no issues survive past January 1843; see *NS*, January 5, 1849, on Ward’s taking it over.

6. *NS*, June 27, 1850, for this ubiquitous reference, and Martin R. Delany quoting an awestruck white journalist for whom Ward as an “animated statue of black marble, of the old Egyptian sort, out of which our white civilization was hewn. Every degrading association dropped away from his color, and it was as rich in blackness as the velvet pall on the bier of an Emperor”; *NASS*, March 11, 1847, quoting a Whig editor that the Liberty Party sent “their *big gun*, S. R. Ward, to defend the party” at an upstate convention against the Garrisonians Charles Remond and William Wells Brown.

7. *NS*, September 1, 1848.

8. Weed to Greeley, June 19, 1846, in TWP; GS to WHS, February 18, 1847, including letter from Forward to Smith, dated February 9, and WHS to GS, March 20, 1847, in WHSP, reel 29.

9. *NS*, August 24, 1849; *IC*, January 4 and August 23, 1851; Austin Steward, *Twenty-Two Years a Slave and Forty Years a Freeman*, with an Introduction by Graham Russell Hodges (Syracuse, NY: Syracuse University Press, 2002), xxvii; *FDP*, January 29, April 8, May 20, August 16, 1852, thanking Seward for sending copies of speeches, and November 19, 1852, listing a \$5 contribution.

10. *AEJ*, February 5, 1850; *Telegraph and Temperance Journal*, May 1, 1851, lists thirteen senators, and that “monies received from the Members of Assembly will appear in our next”; also May 17, 1852 and April 6 and March 10, 1853 (“Senator Seward is one of those who always pays up, he does not forget our little paper. He has our thanks”); *AEJ*, June 14, 1852.

11. *AEJ*, November 24, 1848; *Baltimore Sun*, November 23, 1848, reprinting *New York Express*; *Sandusky Register*, November 2, 1848; *Philadelphia North American*, November 23, 1848; *Alexandria Gazette*, January 2, 1849; *Commercial Advertiser* (New York), January 9, 1849; *Farmer’s Cabinet* (Amherst, NY), January 11, 1849; *New London Democrat*, January 13, 1849; *NS*, January 19, 1849, a letter from William H. Topp explaining how their proposal to have Ward speak passed “by a strong vote”; *NS*, February 16, 1849, on a New Bedford meeting where Myers described how black urbanites were “surrounded by unjust laws and prejudiced public sentiment. Everywhere great obstacles oppose our improvement and elevation. Law, public sentiment and popular religion unite to crush the colored man.—We see it and feel it in our souls. We are determined to have a change; ourselves only can bring about that change; ourselves must strike the blow”; *NS*, February 23, on a similar event in Pittsford, Massachusetts, and March 2, 1849, on the association denouncing Henry Bibb, and that “we recommend the Potash and Lumber Company to commence that business as early as possible”; *NE*, January 4, 1849, informing readers, “A building to hold seventy families will be finished by the 1st of January. The property has plenty of water power and grist and saw mills have been projected. . . . Messrs. Fillmore, Fish, Morgan, Spencer, and other prominent men of New York, have contributed to promote the object. Subscriptions will be received by Dr. McCune Smith, 105 West Broadway, New York”; *NS*, February 2, 1849, with more information on the \$3,000 to be raised to support settlers “until the first crop can be raised,” naming Ray, McCune Smith, “Rev. W. J. Logan of Syracuse” (Loguen), Ward, and Douglass as inspectors “to price the public property of the settlement after the public works are completed.”

12. *NS*, February 16, March 2, March 16, and March 30, 1849 for Myers’s detailed response, outlining the resources and opportunities in the town, on which Douglass

43. See two important New England voices, the *Boston Atlas*, February 24, 1855 (“The Third Avenue Railroad Company of Brooklyn, have been mulcted in \$250 and the costs of prosecution, for putting a colored woman off their cars by force. The jury agreed upon \$225, but the Court added 10 per cent”) and the *Congregationalist*, March 2, 1855 (“Elizabeth Jennings, a respectable colored woman, a teacher in one of the public schools, and organist . . . was very nicely dressed, and she is, moreover, a tidy looking person, far preferable as a companion in a railroad car to any of the tobacco-chewing, rum-drinking white rowdies who throng these vehicles,” further describing her as a “woman of spirit”); for Jennings to the conductor, see Ripley, *Black Abolitionist Papers*, 4:231; also Leslie M. Harris, *In the Shadow of Slavery: African Americans in New York City, 1626–1863* (Chicago: University of Chicago Press, 2004), 270; *Tribune* quoted in *Provincial Freeman*, March 10, 1855; *FDP*, May 11, 1855; Pennington’s court action in *Provincial Freeman*, June 16, 1855, see also Alexander, *African or American?*, 128–29, on Pennington losing a subsequent suit, until a February 1858 state supreme court decision finally banned racial discrimination in public transportation.

44. Booraem, *Formation of the Republican Party*, 82. See Harry J. Carman and Reinhard H. Luthin, “The Seward-Fillmore Feud and the Crisis of 1850,” *New York History* 24, no. 2 (April 1943): 163–84, describing the Sewardites achieving control in 1850, quoting a letter to Fillmore from Jerome Fuller that “unless these slavery issues are disposed of, the danger is that a sectional party will arise. Weed and Seward would like to convert the Whig party into one. We must stay the progress of abolitionism or we are gone” (175).

45. John Stauffer, *The Black Hearts of Men: Radical Abolitionists and the Transformation of Race* (Cambridge, MA: Harvard University Press, 2002), has an extended discussion of the RAP; Joel Schor, *Henry Highland Garnet: A Voice of Black Radicalism in the Nineteenth Century* (Westport, CT: Greenwood Press, 1977), 139.

46. Field, *Politics of Race*, 85–86, 97–103 (even with a five-to-one majority in 1849, Whigs rejected suffrage petitions on a voice vote).

47. Field, *Politics of Race*, 80–81 (“only one other made it to the balloting stage” in 1846–60), 112–13.

48. Field, 81.

49. Field, 90–91.

50. See *FDP*, July 20, 1855 for the “Call for a State Convention of the Colored People of the State of New York,” in Philip S. Foner and George E. Walker, eds., *Proceedings of the Black State Conventions, 1840–1865*, vol. 1, *New York, Pennsylvania, Indiana, Michigan, Ohio* (Philadelphia: Temple University Press, 1979), 88; *FDP*, July 27 and September 24, 1855.

51. *Northern Star and Freeman’s Advocate*, December 8, 1842; *FDP*, February 23, 1855.

52. *FDP*, December 14, 1855, noting the meeting unanimously agreed that “the Republican Ticket was entitled to their support”; *Census for the State of New-York for 1855*, 76; *FDP*, October 19, 1855, reporting on a September 30 meeting in Poughkeepsie which Myers addressed as “agent for the County.”

53. Stephen Myers, *Circular to the Friends of Freedom, May 22, 1858* (Albany: 1858); Myers to John Jay II, December 17, 1858, in Ripley, *Black Abolitionist Papers*, 4:407–11; also *Cincinnati Commercial Tribune*, March 4, 1858, reprinting the *Times* on the “Underground Railroad in New York,” which cited Myers’s favorable report as its su-

perintendent, that in the month's first twenty-three days, there had been thirty-six "through passengers, besides the usual amount of way travel." He even survived an interracial sexual scandal, the charge he had seduced "a very fair appearing white girl of about twenty years," Mary Brennan, who also worked at the Delevan Hotel, setting her up in a house and offering her \$200. She sued him as "the father of her babe—yet unborn"; see *Daily Missouri Republican*, June 2, 1855, reprinting *Albany Atlas*, referring to him as "a celebrated character in Albany . . . a great favorite with the anti-slavery leaders" and "as black as the ace of spades."

54. Versions in *Daily Evening Traveller* (Boston), February 6, 1858, *Daily Citizen and News* (Lowell, MA) February 8, 1858, *The States* (Washington, DC), February 9, 1858, *Massachusetts Spy* (Worcester) and *Philadelphia Inquirer*, February 10, 1858, *Charleston Mercury* and *Charleston Courier*, February 12, 1858, *New England Farmer* (Boston), February 13, 1858, *Portland Advertiser*, February 16, 1858, *Daily True Delta* (New Orleans), February 21, 1858, *Columbus Enquirer* (Georgia), February 25, 1858; see also Ripley, *Black Abolitionist Papers*, 4:407, for a note that from November 1857 to May 1858, Myers sent 188 fugitives to Canada; for Weed's coverage of the UGRR, see *AEJ*, September 4, 1854, reprinting *Owego Times*, how a fugitive "father with his child 2 ½ years old, passed through this village on Sunday last, for Canada," after threats to sell the child and mutilation by "a brutal overseer," adding that the "only guide through his weary night wandering was the north star—to the slave the Star of Bethlehem," also January 29, 1856, a long report by William Still, headed "Pursuit of Freedom under Difficulties—Underground Railroad News," full of dramatic stories, repr. from Mary Ann Shadd Cary's *Provincial Freeman*, January 19, and January 4, 1858, "The *Syracuse Standard* says that Rev. Mr. Loguen performed the marriage ceremony on the 31st. inst. for a couple of fugitives from Delaware. . . . The party immediately started on a wedding tour by the Underground R. R. to Canada, where they expect to spend the honeymoon"; Frederick W. Seward, *Seward at Washington, as Senator and Secretary of State: A Memoir of His Life, and Selections from His Letters, 1846–1861* (New York: Derby and Miller, 1891), 258, for an 1855 letter where the senator noted, "The 'underground railroad' works wonderfully. Two passengers came here last night"; *FDP*, September 14, 1855, for "Cosmopolite" reporting on the state suffrage association meeting, February 11, 1859, for Watkins's speech in the assembly chamber, March 11, 1859, for Martin describing how "the legislative sharks" were "sporting with the helpless form of the disfranchised colored man," but noting Myers's efforts deserved "respect and commendation; for though I do not agree with him in political opinion, I think he is doing what no other man could do for us, and when we get our rights in this State, the efforts of Mr. Myers shall not be forgotten."

55. See Myers to Gerrit Smith, March 22, 1856, in Ripley, *Black Abolitionist Papers*, 4:326–27, "Sir I have been striving hard this winter with members of the senate and assembly to recommend an amendment to the constitution of this state so as to strike off the property qualification and let us vote on the same footing as the white mail citizens so as to have it once more handed down to the people I have got Senator Cuyler some weeks ago to get up a resolution in the Senat which is now under discusin and will com up again monday or tuesday I shall have one up in the assembly in a few days," adding he had also "gotten about sixty members pledged to go against" a bill to give \$5,000 to the ACS; on Cuyler, see the National Park Service's Network to Freedom

mapping the UGRR, according to which the Cuylers “kept the most important Underground Railroad station on Lake Ontario’s shore between Oswego and Rochester,” from which they “sent freedom seekers to Canada on steamboats operated by a relative, Captain Horatio Nelson Throop” (and that Cuyler had been a Liberty man, and then a Free Soiler), see https://www.nps.gov/subjects/ugrr/ntf_member/ntf_member_details.htm?SPFID=4074857&SPFTerritory=NULL&SPFType=NULL&SPFKeywords=NULL; *New-York Tribune*, March 19, 1856.

56. *Jamestown Journal*, March 28, 1856; Booraem, *Formation of the Republican Party*, 220.

57. *FDP*, November 9, 1855; Stauffer, *Black Hearts of Men*, 20 (at the September 1855 state Liberty Party convention, Douglass had been nominated for secretary of state, with George B. Vashon for attorney general, see *FDP*, September 21, 1855); *Radical Abolitionist*, April 1856 (only four black men are identifiable), see the “Extra” of June 2, 1856, containing “Minutes of the National Nominating Convention,” where Ohio’s Peter H. Clark, William J. Watkins, and Douglass spoke, with Amos Beman named as Connecticut’s National Committee representative; *Radical Abolitionist*, November 1856, quoting Douglass on why black people should support Frémont; the estimate of Smith’s vote is in Ripley, *Black Abolitionist Papers*, 4:401n3.

58. See John Stauffer, ed., *The Works of James McCune Smith: Black Intellectual and Abolitionist* (New York: Oxford University Press, 2007), 154, quoting the latter as “Communipaw” in *FDP* on Brooklyn meetings. Early on, there was resistance; see *New-York Tribune*, July 30, 1856 on a “COLORED POLITICAL MEETING IN BROOKLYN” soon after the Republican convention, “for the purpose of organizing themselves into a Club,” where a resolution hailing Frémont’s nomination as “the embodiment of Northern sentiment” and “pledging, as far as we are permitted . . . to exercise the right of American citizens in the use of the ballot box, to remember him . . . in the coming election” caused objections and was “indefinitely postponed,” followed by “a resolution declaring the meeting a ‘Frémont League’ . . . which caused great confusion, several speaking at the same time” so they adjourned; see *Illinois State Register*, August 22, 1856 and *Ohio Statesman*, August 29, 1856, quoting Douglass to prove Frémont was leagued with abolitionists, with the former adding, “Here we have the real negro himself, the black Douglass, throwing up his cap for the woolly horse; Massa John Charles is kinky enough for Fred Douglass,” and *Mining Register, and Pottsville Emporium* (Pottsville, PA), August 23, 1856 counterposing two lists of leaders (one set backing Buchanan; another, including Seward, Greeley, Henry Ward Beecher, Stevens, and Douglass, backing Frémont) and *Daily Pennsylvanian*, September 19, 1856, “Let all who follow negro dictation and morals under the leadership of a negro editor mark well his reasons for this course,” and *Columbian Register* (New Haven), November 1, 1856, reporting, “Fred. Douglass (black) made a speech on Saturday evening last, at a Frémont meeting in Milwaukee. Douglass is one of the most active soldiers in the Republican camp”; for Garnet, see *New-York Tribune*, September 24, 1856 and *Jeffersonian Democrat* (Monroe, WI), September 18, 1856, drawing on Bennett’s *Herald*; W. J. Watkins to Weed, November 4, 1856, in TWP.

59. *AEJ*, December 19, 1856. Holding up exemplary men of color as superior to vulgar Democrats was a favorite trope for the *Journal*; see its 1858 comment when the ex-mayor of New York and Tammanyite Fernando Wood was “formally invited to a seat

on the floor of the Assembly” and “someone jocosely proffered a like compliment to FRED. DOUGLASS.” When the Democratic *Argus* claimed the *Journal* was “hysterical” over “Negro Douglass” being refused, the Republican editor replied, “We certainly deem ‘Negro Douglass’ vastly Wood’s mental and moral superior; but we would not, for that reason, tender him the empty honor of a seat within the bar of the Assembly Chamber. Unlike the Ex-Mayor, he has too much sense to relish any such silly ostentation”; *AEJ*, February 3, 1858.

60. *AEJ*, February 18, 1857.

61. Field, *Politics of Race*, 99; *Albany Argus*, March 27 and 31, 1857; *Evening Post*, March 20, 1857 (“Judge Taney admits the position that all persons who were citizens of the several states at the time the constitution was adopted became citizens of the United States. . . . This gives Downing an opportunity to floor the judge on the deadlock” by citing Massachusetts’s enfranchisement of black men in 1780) and *AEJ*, March 25, 1857 (“This black man teaching law to the Democratic Chief Justice of the United States, shows him that the power of Congress to establish a uniform rule of naturalization, is to be exercised among aliens, and does not refer to and did not contemplate, native-born Americans,” with more of Downing’s examples).

62. *Tribune*, September 4, 1857; *Plattsburgh Republican*, September 5, 1857 from *Troy Budget* (this newspaper, founded by Azariah Flagg, a close ally of Van Buren, was the “party’s leading organ in northeastern New York,” see Lee Benson, *The Concept of Jacksonian Democracy: New York as a Test Case* [Princeton, NJ: Princeton University Press, 1961], 68); *AEJ* quoted in *Daily Union*, September 8, 1857.

63. *AEJ* quoted in *Daily Union*, September 8, 1857; *New York Herald*, September 6 and 7, 1857; *Daily Pioneer and Democrat* (St. Paul, MN), September 18, 1857, quoting *Buffalo Commercial Advertiser*.

64. *FDP*, September 4 and 18, 1857. Next to the latter, Douglass printed a white man named “B.,” acknowledging “the disappointment and mortification you feel and express at the miserable blunder by which the suffrage question is delayed.” Still, he was “inclined to accept the account of the matter as given in the *Journal*. No man who knows the Governor . . . will for a moment suspect him of countenancing any trick or evasions,” and his secretary should not “be suspected of anything but a carelessness or forgetfulness.” It was all somebody else’s fault, “a clerk of the Senate” or the secretary of state, and even he had not “intentionally withheld them.”

65. *New York Times*, September 30, 1857; *NASS*, January 9, 1858.

66. *Troy Times*, October 16, 1858: “Democrats are very busy in denouncing the colored men who intend to vote for Mr. Morgan on the score of ingratitude. If it is ungrateful for them to abandon Gerrit Smith because he gave them land, Irishmen should vote for him because he gave them food and money,” a reference to Smith’s ample contributions to Irish famine relief.

67. Quoted in *NE*, October 14, 1858. Gamaliel Bailey, editor of the *Era*, added that in the 1840s, “both the Parties were controlled by their Slaveholding wings.” By their intransigence, the Liberty men “paved the way for the Free Soil movement of 1848, and the Republican Party of 1856, whose policy is precisely that which they urged with so much importunity.” If New York’s “Anti-Slavery voters” had any reason to think “the Republican Party occupies just the same position” as the Whigs, that perception would “multiply Mr. Smith’s supporters by the score.” This prospect was unlikely, however,

as most former Liberty men were now Republicans. Smith's supporters should recognize that "by uniting with the Republicans, they might prostrate the Administration Party in New York, give the finishing blow to Pro-Slavery Know Nothingism, and prepare the way for the inauguration in 1860 of the Free Power of the Country in the Capital of the Nation."

68. *Radical Abolitionist*, August 1858, "A Word to the Colored People," one of the few appeals. McCune Smith had written Smith in late summer indicating that Thomas Hamilton, who would shortly found the *Weekly Anglo-African*, wanted to start a campaign paper aimed at black voters, and needed \$500 to begin, stressing that it "would do much good for the cause hereabouts and that it would gain many votes," to which the doctor added a caution, that "if I may venture a word of advice, do not write another letter on politics between now and 20th November," JMS to GS, August 23, 1858, GSP, box 34.

69. *Gerrit Smith Banner*, October 28 and 29, 1858; *Evening Post*, October 8, 1858.

70. *Gerrit Smith Banner*, November 1, 1858; *NE*, November 11, 1858, hailing the "GREAT REPUBLICAN TRIUMPH"; see also *AEJ*, January 5, 1859, for the official tally showing 54 percent of Smith's votes concentrated in eleven upstate counties: Allegany, Cattaraugus, Cortland, Jefferson, Oswego, Oneida, Onondaga, St. Lawrence, Wayne, Chautauqua and Madison—in the last, his home county, he received 636 votes or 12 percent of his statewide tally versus a mere fifty-eight in New York County and seventy-two in Kings, with their large numbers of black voters; *Evening Post*, October 26, 1858.

71. *NASS*, October 9, 1858; Garnet to Smith, September 16, 1858 in Ripley, *Black Abolitionist Papers*, 4:398; Watkins to Smith, September 27, 1858, in Ripley, *Black Abolitionist Papers*, 4:398–400 (Watkins was responding to the following in the *NASS*, October 2, 1858, "William J. Watkins, who has for several years occupied the place of associate editor of Frederick Douglass' Paper, is said to have dissolved his connection therewith. The reason for this step has not been authoritatively announced, but it is currently reported to have been a difference of opinion and feeling between Mr. Watkins and Mr. Douglass. *The Hour and the Man*, Gerrit Smith's new organ at Albany, says: 'We understand that Mr. Watkins, a colored man, lately divorced from Frederick Douglass' Paper, has been closeted in our city with the Prince of the State Regency, and has taken the stump to induce colored people to vote against Gerrit Smith and in favor of Morgan—beware of him!'); *New-York Tribune*, October 7, 1858.

72. *New-York Tribune*, October 5, 1858, as "Gerrit Smith and the Colored Vote," repr. in the *AEJ*, October 7, 1858; *Anti-Slavery Bugle*, December 4, 1858; *AEJ*, January 15, 1859, "The Assembly Chamber was well filled last evening to hear Wm. J. Watkins speak on the Suffrage Question. He is a fluent speaker. His argument was logical, clear and convincing against the injustice of the property qualification, and his suggestions for action in reference to it sensible and practical. We observed a considerable number of the members of the Legislature, as well as citizens, among the audience. Mr. W. speaks on Monday evening at the Third-st. Church on Arbor Hill . . . at Schenectady on Tuesday, and at Hudson on Wednesday."

73. Quoted in *NASS*, October 9, 1858; *Gerrit Smith Banner*, November 1 (repr. the *Tribune*), and October 29, 1858.

74. *Radical Abolitionist*, December 1858.

75. *Louisiana Courier* repr. in *New-York Tribune*, November 17, 1858 as “SOUTHERN SYMPATHY FOR GERRIT SMITH.”

76. Amy Hanmer-Croughton, “Anti-Slavery Days in Rochester,” *The Rochester Historical Society, Publication Fund Series* (Rochester, NY: Published by the Society, 1936), 143–44; Seward, *Seward at Washington*, 440; Glyndon G. Van Deusen, *William Henry Seward* (New York: Oxford University Press, 1967), 215.

77. Field, *Politics of Race*, 137; John S. Minard, Esq., County Historian, *Allegany County and its People, A Centennial Memorial History of Allegany County, New York* (Alfred, NY: W. A. Fergusson, 1896), 651, on Maxson as a farmer’s son and devout Baptist, ordained in 1853 and a professor of church polity and pastoral theology at Alfred University in the 1850s (“Mr. Maxson belonged to the old time ‘Liberty’ party in politics. . . . His Alfred home was ever a ‘station’ of the ‘underground railroad’ when that was in operation”); *AEJ*, February 20, 1860.

78. *FDP*, February 11, 1859.

79. *FDP*, March 4, 1859. One notes that Douglass published all these polemics against him, apparently unbothered.

80. *WAA*, September 24, 1859 and March 17, 1860.

81. Field, *Politics of Race*, 108–9; in 1856, fifteen of forty-seven; in 1857, fourteen of thirty-eight; in 1858, seventeen of fifty-seven; in 1859, twelve of twenty-nine; in 1860, thirteen of thirty-seven. Adding Kings County only accentuates the concentration of the state’s Democratic vote. For trenchant analyses of why white plebeians were so deeply Democratic, see Anthony Gronowicz, *Race and Class in New York City before the Civil War* (Boston: Northeastern University Press, 1997), and, in particular, Wilder, *Covenant with Color*, 61, specifying Brooklyn’s centrality in the transatlantic textile and sugar economies built on slave labor: “The ability to enslave African Americans was sustained because distinct interest groups came together under the banner of race. . . . The incorporation of the industrial working class into the Democratic party . . . offered the Irish and German population a party that defended their interests as workers and citizens, and redrew the lines of social division to include immigrants but exclude people of color”; *AEJ*, February 11, 1859.

82. *WAA*, March 24 and 31, 1860, also April 21, when Republican failure to file the resolutions required a revote, speedily accomplished; *WAA*, March 31, 1860. Hamilton claimed to be unimpressed by symbolism, but informed his readers that the black minister T. Doughty Miller had been invited to “open the session of the Assembly with prayer” (*WAA*, March 10, 1860).

83. Thurlow Weed Barnes, *Memoir of Thurlow Weed* (Boston: Houghton, Mifflin, 1884), 258, although clearly an editorial, but I cannot locate its original; on Irish women and black men, see *WAA*, January 28, 1860, describing an “Anti-O’Conor Meeting” after the leading Irish Democratic lawyer Charles O’Conor, representing a master in the famous *Lemmon v. The People* case, asserted that slavery was upheld by “essential justice and morality in all courts and places before men and nations,” after which Garnet declared that in Ireland, the men “would have stoned him, and the daughters of the Emerald Isle would have whipped him within an inch of his life,” and Jeremiah Powers baited O’Conor on his countrywomen’s marital preferences; see also Freeman, *Free Negro in New York City*, 167, quoting an Assembly committee’s report on tenements which found that “by ocular demonstration, it was ascertained that nearly all the